

## **ISS Enterprise Limited**

International Infotech Park, Tower No.3 & 7, 5<sup>th</sup> Floor, Sector 30-A, Vashi Railway Stn Complex, Vashi, Navi Mumbai-400 703, Tel: (022) 61829500 www.isesec.com, Investor Grievance e-mail: <a href="mailto:ig@iseindia.com">ig@iseindia.com</a> GST:27AAACI6011L1ZO

#### i. <u>Basic details of ISS ENTERPRISE LIMITED</u>, <u>STOCK BROKER & DEPOSITORY PARTICIPANT – CDSL</u>

|                | Registra |               |  |          |               |
|----------------|----------|---------------|--|----------|---------------|
| Charle Dualeau | _        | Dagistanad    |  | Cambaat  |               |
| Stock Broker   | tion     | Registered    |  | Contact  |               |
| & DP Name      | Number   | Address       | Branch Address (if any)                      | Number   | Email id      |
| ISS            | INZ0000  | TOWER NO.3 &  | 1. ISS Enterprise Ltd. No: 223,1st floor,    | 022-     | customercare  |
| ENTERPRISE     | 157633   | 7, 5TH FLOOR, | Vijay Enclave, TV Sami Road (East), R. S.    | 61829500 | @iseindia.com |
| LTD.           |          | INTL INFOTECH | Puram, Coimbatore - 641002.                  |          |               |
|                | IN-DP-   | PARK, VASHI   |  |          |               |
|                | 392-     | RLY STN,      | 2. ISS Enterprise Ltd.803, Ashiana Plaza,    |          |               |
|                | 2018     | SECTOR-30,    | Budh Marg, Patna - 800 001                   |          |               |
|                |          | VASHI NAVI    |  |          |               |
|                |          | MUMBAI        | 3.ISS Enterprise Ltd. 409, 4th floor, 16/11, |          |               |
|                |          | 400703        | R.D. Chamber, Arya Samaj Road, Karol         |          |               |
|                |          |               | bagh, New Delhi - 110005.                    |          |               |
|                |          |               |  |          |               |
|                |          |               | 4. ISS Enterprise Ltd. Shine Tower, 3rd      |          |               |
|                |          |               | Floor, Room No. 3B, Arya Chowk,              |          |               |
|                |          |               | Rehabari, Guwahati - 781008.                 |          |               |
|                |          |               | Theriabari, Gawarian 701000.                 |          |               |
|                |          |               | 5. ISS Enterprise Ltd.403, Mukti Chambers    |          |               |
|                |          |               | •  |          |               |
|                |          |               | 4, Clive Row, Kolkata - 700001.              |          |               |

#### **Escalation Matrix:**

| Details of            | Contact Person | Address             | Contact<br>No. | Email Id                  |
|-----------------------|----------------|---------------------|----------------|---------------------------|
|                       | VINOD CADANC   | V71.' NT. 'N#1'     |                |                           |
| Customer care         | VINOD SARANG   | Vashi – Navi Mumbai | 9323176591     | customercare@iseindia.com |
| Head of Customer care | MAYURI MARATHE | Vashi – Navi Mumbai | 9322191039     | mayurim@iseindia.com      |
| Compliance Officer    | M.V.SWAMINATH  | Vashi – Navi Mumbai | 9841977419     | compliance@iseindia.com   |
| Executive Director    | S.SWAMINATHAN  | Vashi – Navi Mumbai | 9962529722     | swami@iseindia.com        |
| DP In-Charge          | NANDINI RAWAT  | Vashi – Navi Mumbai | 9321602952     | dp@iseindia.com           |

ii. Names and contact details of all Key Managerial Personnel including the Compliance Officer-

| Sr.<br>No. | Name of the<br>Individual | Designation        | Contact Number | Email Id                 |
|------------|---------------------------|--------------------|----------------|--------------------------|
| 1          | S.SWAMINATHAN             | EXECUTIVE DIRECTOR | 9962529722     | swami@iseindia.com       |
| 2          | M.V.SWAMINATH             | COMPLIANCE OFFICER | 98419 77419    | swaminathmv@iseindia.com |



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# iii. Step by step procedures for opening an account, filing a complaint on designated email id, and finding out the status of the complaint etc.

- a) Detailed write up on the procedure for opening an account along with Flowchart and video if any (optional).
- b) Detailed write up on procedure for filing a complaint on designated email id/ Toll-free number along with Flowchart and video if any (optional). Provisions are to be made for sharing Ticket Number once the complaint is lodged.
- c) Detailed write up on procedure for finding out status of the complaint basis Ticket Number etc. along with Flowchart and video if any (optional).

| List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons |                                      |           |  |            |  |  |  |
|---|--------------------------------------|-----------|--|------------|--|--|--|
| Sr.   | Authorised Person's Name             | Status    | Authorised Person Cancellation Details |            |  |  |  |
| No.   |                                      |           | Date                                   | Reason     |  |  |  |
| 1   | WASANKAR WEALTH MANAGEMENT PVT. LTD. | CANCELLED | 21-09-2022                             | SEBI ORDER |  |  |  |

### iv. Details of Authorized Persons – ATTACHED SEPARATELY

| List Of Authorised Persons (AP) |                                |  |                  |                               |                    |      |       |                                     |                               |                     |
|---------------------------------|--------------------------------|--|------------------|-------------------------------|--------------------|------|-------|-------------------------------------|-------------------------------|---------------------|
| Sr<br>N<br>o                    | Authorised<br>Person's<br>Name | Authorised Person Code (Exchange wise) | Constitut<br>ion | Status (Approved / Cancelled) | Registered Address |      |       | Terminal Details<br>(Exchange Wise) |                               |                     |
|                                 |                                |  |                  |                               | Add                | City | State | Pin<br>code                         | Terminal<br>Allotted<br>(Y/N) | No. of<br>Terminals |
| 1                               |                                |  |                  |                               |                    |      |       |                                     |                               |                     |
| 2                               |                                |  |                  |                               |                    |      |       |                                     |                               |                     |
| 3                               |                                |  |                  |                               |                    |      |       |                                     |                               |                     |
| 4                               |                                |  |                  | _                             |                    |      |       |                                     |                               |                     |
| 5                               |                                |  |                  |                               |                    |      |       |                                     |                               |                     |