

Ref: 06-07/ISS/ /VV
June 5, 2006

- ☐ Hand Delivery
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All Registered Intermediaries of ISS
President / Executive Director of Participating Exchanges, Co-ordination Officers at
Participating Exchanges / Regional Administrative Offices

Dear Sir,

Sub: Annual Compliance Report

Registered Intermediaries are requested to refer to our circulars requiring them to submit Annual Compliance Report to the Exchange on an annual basis within three months of close of the financial year.

It is hereby informed that the said report for the year April 2005 – March 2006 is to be submitted in the format attached herewith, so as **to reach ISS on or before June 20, 2006**. Registered Intermediaries are advised to use the prescribed format only and to submit the report by the prescribed time.

For any clarifications, Registered Intermediaries may contact the following officials Shri Parthasarathy Iyengar, Ms. Nilu Tawde at phone Nos. 27812056 / 58 - Extn. 116 or 67941116 or mail at parthasarathyi@iseindia.com or nilut@iseindia.com.

Yours faithfully,
For **ISE SECURITIES & SERVICES LIMITED**

V. VASUMATHY
Company Secretary & Compliance Officer

Encl.: as above

Annexure to Circular No. 06-07/ISS/ /VV dated June 5, 2006

Compliance Report

for the financial year 2005-06

(To be typed on the letter head of the Registered Intermediary)

To
Inspection Department,
ISE Securities & Services of India Limited.,
International Infotech Park, Tower No 7, 5th Floor,
Vashi Railway Station Complex
Vashi, Navi Mumbai – 400 703.

I / We hereby confirm that I / We, a sub-broker in the Capital Market segment of the Exchange carrying business in the name M/s _____ have complied, during the financial year 2005-06, with all the Rules, Bye-laws, Regulations, circulars, and directives issued by ISE Securities & Services Limited, National Stock Exchange of India Limited / National Securities Clearing Corporation Limited, in general and in particular all the specific areas listed in the Annexure I of this Report subject to the non-compliance(s), deviation(s) and exception(s) as listed therein.

For and on behalf of,

Place: _____

Date : _____

Signature of Managing Director / Whole Time
Director / Partner / Proprietor

Name: _____

Office seal

Notes:

1. Please note that the report is to be signed by Managing Director / Whole-time Director / Partner / Proprietor only and that all pages have to be initialled.
2. Please note to enter only one of the alternatives – Yes / Partial / No / N.A (not applicable) – whichever is appropriate in the column “Status of Compliance” for each of the items.
3. Please also note that no item shall be left blank and that any item that is left blank will be constituted as not complied with.
4. Where space in “Remarks” column is not sufficient for comment, please enclose certified annexure.