

Ref: 07-08/ISS/382/VV  
April 27, 2007

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All Registered Intermediaries of ISS  
President / Executive Director of Participating Exchanges, Co-ordination Officers at  
Participating Exchanges / Regional Administrative Offices

Dear Sir,

**Sub: Written Procedure to be adopted for implementation of the  
Anti-Money Laundering Act, 2002**

**Ref: SEBI Circular no. ISD/CIR/RR/AML/2/06 dated March 20, 2006, NSE Circular  
no. NSE/INVG/2006/09 dated January 25, 2006 and no. NSE/INVG/2006/33 dated  
March 3, 2006 and ISS Circular no. 06-07/ISS/42851/KS dated February 21, 2007**

This is with reference to the above circulars for implementation of provisions of the Prevention of Money Laundering Act, 2002 (PMLA). Every registered intermediary in the Capital Market is required to adopt written procedures to implement the provisions of PMLA. In view of the same, Draft Anti-Money Laundering Policy for sub-brokers is herewith attached at Annexure - 1, which may be adopted by the sub-broker. You are required to implement the same at the earliest.

Accordingly, you are required to send the Anti-Money Laundering Policy adopted by you on your letterhead, duly certified by the Director / Proprietor / Compliance Officer of the sub-broker.

As per the aforesaid guidelines all the registered intermediaries are required to intimate the Financial Intelligence Unit of India (FIU-IND), New Delhi (as per attached format in Annexure – 2) and the same has to be intimated to ISS in the format attached at Annexure 3.

You are requested to submit all the three annexures as mentioned above to the Compliance Officer, ISE Securities & Services Limited on or before May 10, 2007.

Thanking you,

Yours faithfully,  
For **ISE SECURITIES & SERVICES LTD.,**

**V. VASUMATHY**  
**Company Secretary & Compliance Officer**

Encl: a/a