☐Hand Delivery
☐Fax
☐Courier
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☐E-mail

Ref.: 09-10/ISS/**3221**/MM
April 19, 2010

All Registered Intermediaries of ISS

Dear Sir,

Sub.: Implementation ISS_SPARC-IM with effect from April 22, 2010.

As you all are aware, we are on implementation of the New ISS Back Office Software Called ISS Settlement Process And Risk Control – Indian Market (ISS SPARC-IM) developed by Geojit Technologies (P) Ltd.

Geojit team members are with ISS officials for the past four months for implementation of all the ISS trading and back office requirements in the new back office software.

ISS SPARC-IM has been parallely running from April 10th 2010 and onwards and now we are pleased to inform you that with effect from **Thursday April 22, 2010** ISS SPARC-IM **will be on-live** and Class back Office Software will be available only for viewing. Sub-brokers are requested to put your entries through the New Software.

ISS has undertaken necessary testing and feedback from the members and required training provided to the branch heads, users manual has been placed at ISS ftp 144.1.150.90 file name “Geojit User manual1”. Please find attached write-up on SPARC Features.

If any clarification/issues may kindly contact your branch heads, or your Relationship Managers (RMs) at 022-67941163, 67941168 and 67941118 or Geojit officials on 022-679411197 or the under-signed on **022-67941171**

Members are requested to kindly extend all your co-operation for the implementation of ISS SPARC-IM with your valuable suggestion / feedback.

Thanking you,

Yours faithfully
For ISE SECURITIES AND SERVICES LTD.

Mayuri M.
ISS Helpdesk

Encl.: As above

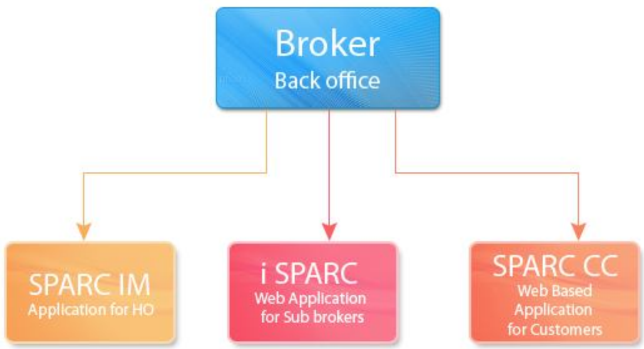
*i*SPARC

a complete web based solution for sub brokers

Settlement Processing & Risk Control

Different Editions of SPARC

- SPARC IM – Application for Corporate office
- *i*SPARC – Web / Browser based application for sub brokers
- SPARC CC – Customer care site for Clients of Sub Brokers



Features

- MIS
  - Facts, Analytics for quick decision making
  - Budget
    - Define target & monitor target vs. achievement
  - Drill down approach

Features

- Key Business figures a click away
  - SPARC ANALYTICS

SPARC ANALYTICS

SUMMARY AS ON DATE 03-Sep-2010

Volume	**25,593,915**	No Of Orders	**1,779**
Brokerage	**23,855**	No Of Trades	**1,785**
BrokPassOn	**2,510**	No Of Clients Traded	**124**
Average Volume Per Order	**14,387**	No Of Clients Registered	**0**
Average Volume Per Trade	**14,338**	Ben Value(03/09/2010) :51951236 MBen Value(03/09/2010) :0	**51,951,236**
Average Volume Per Client	**206,403**	Bank Balance	**0**

EXCHANGE-WISE DETAILS/CAPITAL MARKET

		Volume				Brokerage	
	Exchange	**Total Volume**	**Purchase**	**Sales**	**Delivery**	**Brokerage**	**BrokPassOn**
1	NSE	1,041,495	391,053	650,443	322,549	1,064	122
2	BSE	22,555,370	10,921,946	11,633,423	3,831,976	22,105	2,264
	Total	**23596865.20**	**11,312,999**	**12,283,866**	**4,154,525**	**23,168**	**2,386**

EXCHANGE-WISE DETAILS/DERIVATIVE MARKET

		Volume				Brokerage	
	Exchange	**Total Volume**	**Purchase**	**Sales**	**Delivery**	**Brokerage**	**ISS Brokerage**
1	NSEFO	1,997,050	1,554,850	442,200	0	686	124
	Total	**1997050.00**	**1,554,850**	**442,200**	**0**	**686**	**124**

Features

- Administration
  - Create own regions
  - Group your branches to regions
  - Modify your branch names (if needed)
- User Management
  - Define user groups
  - Define Access rights to user groups
  - Create Users & tag user groups to this user

Location	Name
W999	NARESH STOCK SERVICES PVT LTD
CBM	COIMBATORE
W99900	COIMBATORE
W99906	GANDHPURAM
HO	DELHI
W99908	NOIDA
W99909	GURGAON
MUM	MUMBAI
W99901	BANDRA
W99902	ANDHERI
Total	

Features

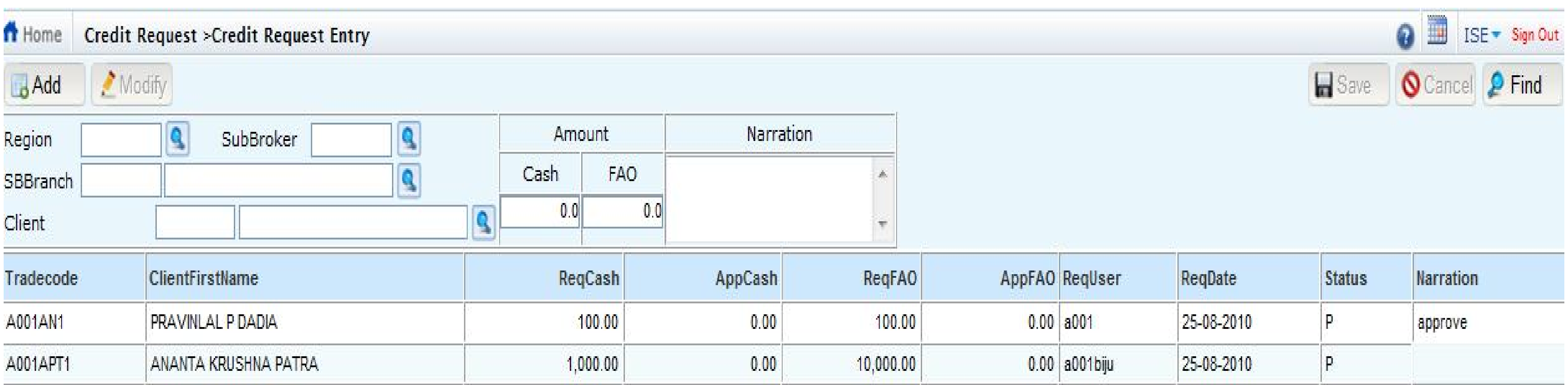
- Sales Promotion
  - Create your own sales promotion rules
    - E.g. Brokerage reduction for particular scrip for a particular period – (Akshaya Tritiya)
  - Brokerage rebate to clients
  - Send bulk mail to your clients - research reports
  - Create & send birthday mails
  - Brokerage reduction on birthday
  - Send promotional SMS

Features

- Credit Request – Request for margin
  - Branches can request for margin

    (During the trading hours if there is a short of margin to place order)

  - Risk / Surveillance / Mini Admin team can approve
  - Upload the approved margin to ODIN / NOW



Tradecode	ClientFirstName	ReqCash	AppCash	ReqFAO	AppFAO	ReqUser	ReqDate	Status	Narration
A001AN1	PRAVINLAL P DADIA	100.00	0.00	100.00	0.00	a001	25-08-2010	P	approve
A001APT1	ANANTA KRUSHNA PATRA	1,000.00	0.00	10,000.00	0.00	a001biju	25-08-2010	P	

Features

- Circulars
  - A single store for all the circulars
  - Corporate users can create different divisions of circulars
  - Corporate can upload general / statutory body circulars

Features

- Service & Support
  - 3 Level work flow
  - Branches & Sub brokers can log a service request
  - These request will flash in Relationship officers machines
  - Automatically route to next level if service is not attended within the predefined time.

Features

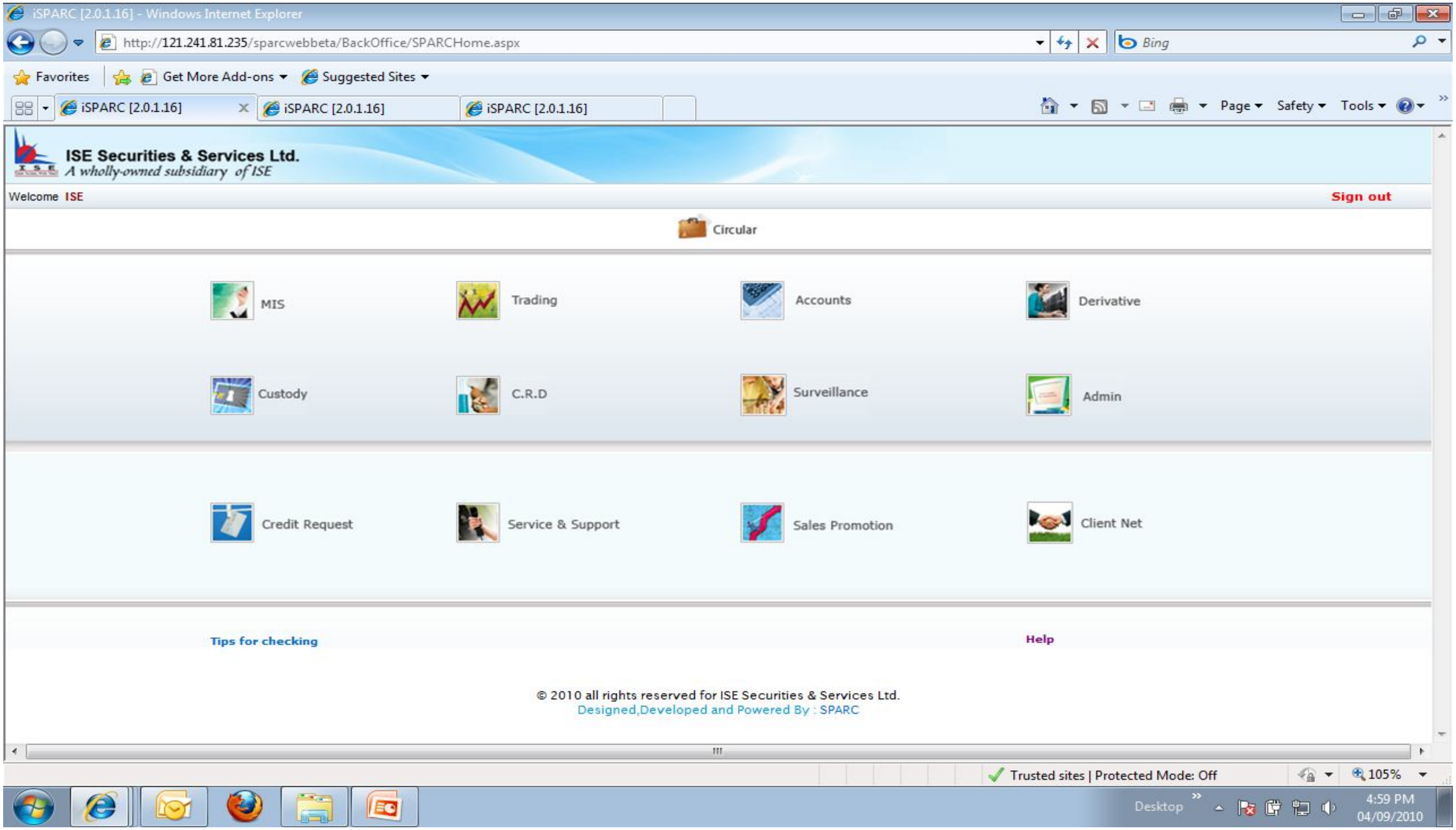
- Client-net
    - 360º view of a client
    - Consolidated information of a client in a single report

How to take iSPARC

- Simply type
  - http://121.241.81.235/
  - http://144.1.150.67/

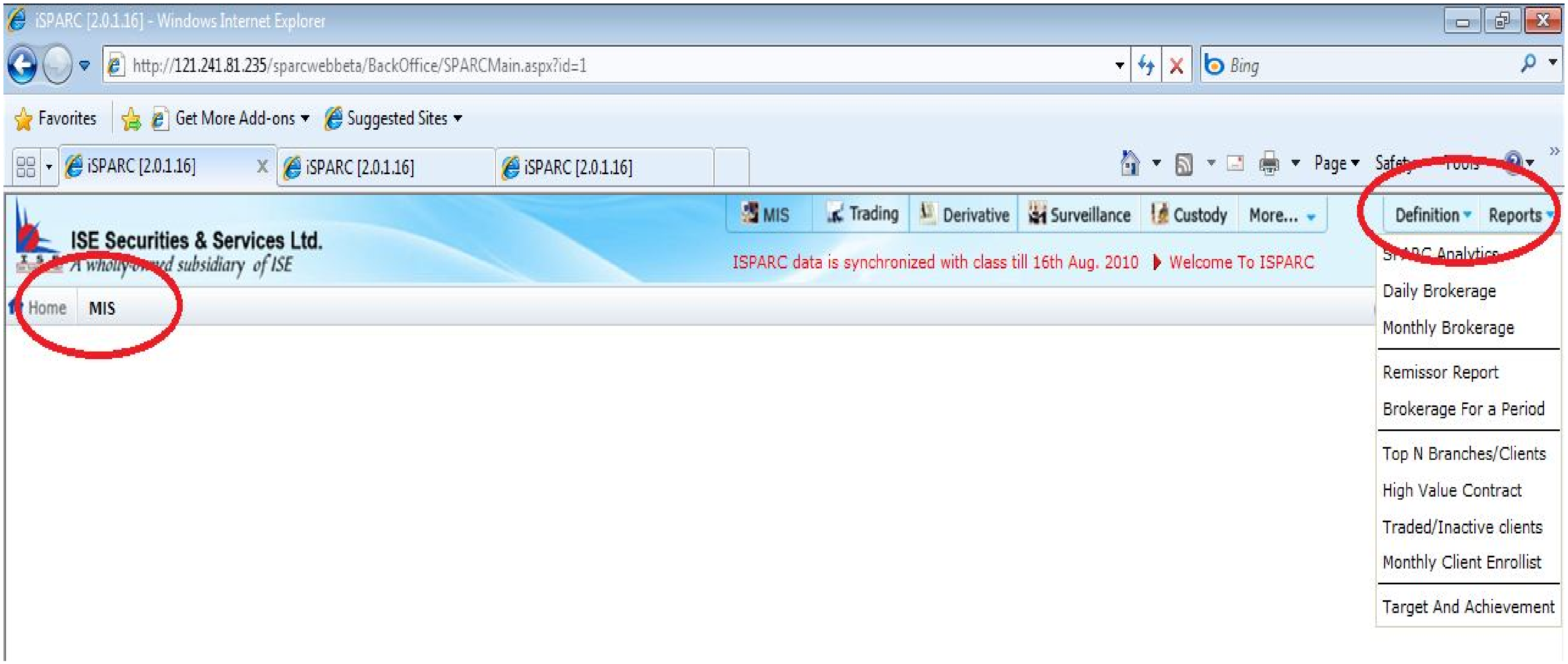
iSPARC Modules

- 12 different modules



iSPARC Modules

- Module & Menu Navigation
  - Select a project & access modules from Definitions & Reports



Thank you

Log on to http://121.241.81.235 or http://144.1.150.67/

Sr.No	Class Subbroker Menus	Sparc Subbroker Menus
1	Share Transactions-->Daily Import-->Imp Trade changes Rep	Trading-->Definition-->Trade correction
2	Share Transactions-->Daily Import-->Imp Trade changes Rep	Trading-->Reports-->Trade correction Report
3	Share Transactions-->DP charges Module-->DP Charges Report	Custody-->Reports-->DP ChargeDetails
4	Share Transactions-->Remisor Module-->Remisor Statement	MIS-->Reports-->Remissor Report
5	Share Transactions-->STT_Reports-->STT Annexure	Trading-->Reports-->STT Annexure
6	Share Transactions-->STT_Reports-->STT Certificate	Trading-->Reports-->STT Report
7	Share Reports-->Delivery Reports-->Other Delivery Reports-->ClientWise Reports-->All Client list,Any Client,All Settlements	Custody-->Reports-->Settlement position
8	Share Reports-->Delivery Reports-->Other Delivery Reports-->ClientWise Reports-->All Client list,Any Client,All Settlements	Custody-->Reports-->AllSetttlement position
9	Share Reports-->Delivery Reports-->Other Delivery Reports-->Scripwise report-->Any Scrip	Custody-->Reports-->Any Scrip position
10	Share Reports-->Delivery Reports-->Other Delivery Reports-->Shortage Reports-->Client PayIn	Custody-->Reports-->PayIn Shortage
11	Share Reports-->Delivery Reports-->Other Delivery Reports-->Shortage Reports-->Client Payout	Custody-->Reports-->Payout Shortage
12	Share Reports-->Delivery Reports-->Other Delivery Reports-->Position Report-->Clientwise Position	Custody-->Reports-->Settlement position-->Position Type
13	Share Reports-->Delivery Reports-->Other Delivery Reports-->Position Report-->Scripwise Position	Custody-->Reports-->Settlement position-->Position Type
14		Custody-->Reports-->Any Scrip position
15	Share Reports-->Delivery Reports-->Other Delivery Reports-->Statements-->Holding Statement Summary	Surveillance-->Reports-->Surveillance Reports-->Holding Statement
16	Share Reports-->Delivery Reports-->Other Delivery Reports-->Statements-->Holding Statement details	Custody-->Reports-->Ben/Mben Holding Statement--
17	Share Reports-->Delivery Reports-->Other Delivery Reports-->Statements-->CSV Holding Statement	Custody-->Reports-->Ben/Mben Holding Statement--->Export
18	Share Reports-->Delivery Reports-->Other Delivery Reports-->Statements-->Transaction Statement	Custody-->Reports-->Transaction Statement
19	Share Reports-->Delivery Reports-->Other Delivery Reports-->Statements-->Intersettlement	Custody-->Reports-->Intersettlement Shifting
20	Share Reports-->Delivery Reports-->Other Delivery Reports-->Statements-->Interbeneficiary	Custody-->Reports-->Intersettlement Shifting
21	Share Reports-->Delivery Reports-->Other Delivery Reports-->Branchwise Report-->Deliver to Branch	Custody-->Reports-->Branch Payout
22	Share Reports-->Delivery Reports-->Other Delivery Reports-->Branchwise Report-->Get from Branch	Custody-->Reports-->Branch PayIn
23	Share Reports-->Delivery Reports-->Other Delivery Reports-->Auction Report-->Auction Bills	Custody-->Reports-->Auction-->Auction Client bill
24	Share Reports-->Delivery Reports-->Other Delivery Reports-->Mail Report-->Payout of shares	Admin-->Definition-->Bulk Mail
25	Share Reports-->Delivery Reports-->Other Delivery Reports-->Mail Report-->PayIn of shares	Admin-->Definition-->Bulk Mail
26	Share Reports-->Delivery Reports-->Share tracking Reports	Custody-->Reports-->Outward Register
27	Share Reports-->Delivery Reports-->Transaction Statements	Custody-->Reports-->Transaction Statement
28	Share Reports-->New Reports-->Sauda details	Trading-->Reports-->Trade List/Levies Report-->Trade Details
29	Share Reports-->New Reports-->Sauda Summary	Trading-->Reports-->Trade Summary
30	Share Reports-->New Reports-->Client Position	Trading-->Reports-->Trade List/Levies Report-->Client option
31	Share Reports-->New Reports-->Summarised Bill	Trading-->Reports-->Client bill
32	Share Reports-->New Reports-->Turnover Brokerage	Trading-->Reports-->Turnover
33	Share Reports-->New Reports-->Levies Report	Trading-->Reports-->Trade List/Levies Report
34	Share Reports-->Settlement Reports-->Bill Printing	Trading-->Reports-->Client bill-->bill Printing
35	Share Reports-->Settlement Reports-->Collateral Stock report	Surveillance-->Reports-->Surveillance Reports-->BG and FD Report
36	Share Reports-->Settlement Reports-->Contract cum Bill	Trading-->Reports-->Contract Note
37	Share Reports-->Settlement Reports-->New Contract Format	Trading-->Reports-->Contract Note
38	Share Reports-->Settlement Reports-->New Digital Contract Format	Trading-->Reports-->DCN
39	Share Reports-->Settlement Reports-->Digital Contract Format	Trading-->Reports-->DCN
40	Share Reports-->Settlement Reports-->Datewise closing report	Trading-->Daily Closing Rate

			iSPARC Manual		1		
13			**SPARC(IM) BRANCH**		**2**		
13		**1**	**MIS**	**MIS M**	2	1	1
13	1	**A**	**Definition**		2	1	2
13	1	1	FIN YEAR TARGET		2	1	3
13	1	**B**	**Reports**		**3**		
13	1	1	SPARC ANALYTICS		3	**1**	
13	1	2	DAILY BROKERAGE		3	1	1
13	1	3	MONTHLY BROKERAGE REPORT		3	1	2
13	1	4	TURNOVER COMMISSION REPORT		3	1	3
13	1	5	REMISOR REPORT		3	1	4
13	1	6	BROKERAGE FOR THE PERIOD		3	**2**	
13	1	7	TOP N BRANCH/CLIENTS		3	2	1
13	1	8	HIGH VALUE CONTRACT		3	2	2
13	1	9	TRADED/INACTIVE CLIENTS		3	**3**	
13	1	10	MONTHLY CLIENT ENROLL LIST		3	3	1
13	1	11	TARGET AND ACHEIVEMENTS		3	3	2
13		**2**	**TRADING**	**TRD M**	3	**4**	
13	2	**A**	**Definition**		3	4	1
13	2	1	TRADE CODE CORRECTION REQUEST		3	4	2
13	2	**B**	**Reports**		3	**5**	
13	2	1	TRADE CODE CORRECTION REPORT		3	5	1
13	2	2	CONFIRMATION NOTE		3	5	2
13	2	3	CONTRACT NOTE		3	5	3
13	2	4	DIGITAL CONTRACT NOTE-DCN		3	**6**	
13	2	5	CLIENT BILL		3	6	1
13	2	6	AVERAGE ANALYSIS		3	6	2
13	2	7	TRADE DETAILS/LEVIES REPORT		3	6	3
13	2	8	TRADE SUMMARY		3	**7**	
13	2	9	TRADE LEDGER		3	7	1
13	2	10	P AND L REPORT		3	7	2
13	2	11	STT ANNEXURE		3	**8**	
13	2	12	STT REPORT		3	8	1
13	2	13	SETTLEMENT CALENDAR		3	8	2
13	2	14	DAILY CLOSING RATE REPORT		3	8	3
13	2	15	CORPORATE ACTION		3	8	4
13		**3**	**ACCOUNTS**	**ACC M**	3	**9**	
13	3	**A**	**Definition**		3	9	1
13	3	1	FUNDS PAYIN		3	9	2
13	3	2	PAY-OUT REQUEST/CASH PAYOUT		3	**10**	
13	3	3	PAY-OUT REQUEST/FNO PAYOUT		3	10	
13	3	**B**	**Reports**		3	10	1
13	3	1	LEDGER		3	10	2

13	3	2	BANK BOOK					3	10	3
13	3	3	DAYBOOK					3	10	4
13	3	4	TRIAL BALANCE					3	**11**	
13	3	5	SOA/STATEMENT OF ACCOUNTS					3	11	1
13	3	6	FUND FLOW					3	11	2
13	3	7	VALAN SUMMARY					3	11	3
13	3	8	FUNDS PAYIN STATEMENT					3	**12**	
13	3	9	FUNDS PAYOUT DETAILS					3	12	1
13	3	10	BOUNCED CHEQUES					3	12	2
13	3	11	SERVICE TAX STATEMENT					3	12	3
13	3	12	DEBIT PENALTY ALLOCATION					3	12	4
13		**4**	**DERIVATIVE**	**DRTV M**				**4**		
13	4	**A**	**Reports**					4	**1**	
13	4	1	TRADE SUMMARY					4	1	1
13	4	2	CLIENT BILL					4	1	2
13	4	3	TURNOVER					4	1	3
13	4	4	OPEN POSITION					4	**2**	
13	4	5	EXERCISE AND ASSIGN					4	2	1
13	4	6	OPEN POSITION P AND L					4	2	2
13	4	7	NET POSITION					4	2	3
13	4	8	IN THE MONEY					4	**3**	
13	4	9	CLIENT SUMMARY					4	3	1
13	4	10	SETTLEMENT STATEMENT					4	3	2
13		**5**	**CUSTODY**	**C&S M**				4	3	3
13	5	**A**	**Definition**					4	3	4
13	5	1	MBEN PAYOUT REQUEST					4	**4**	
13	5	**B**	**Reports**					4	4	1
13	5	1	SETTLEMENT POSITION					4	4	2
13	5	2	TRANSACTION STATEMENT					4	4	3
13	5	3	PAYIN SHORTAGE					4	4	4
13	5	4	BRANCH PAYIN					4	4	5
13	5	5	OUTWARD REGISTER					4	**5**	
13	5	6	BRANCH PAYOUT					4	5	1
13	5	7	SECURITY PAYOUT REPORT					4	5	2
13	5	8	INTERSETTLEMENT SHIFTING					4	5	3
13	5	9	CORPORATE ACTION/DIVIDEND					4	5	4
13	5	10	CORPORATE ACTION/BONUS					4	5	5
13	5	11	DP CHARGE DETAILS					4	**6**	
13		**6**	**CRD**	**CRD M**				4	6	1
13	6	**A**	**Definition**					4	6	2
13	6	1	CLIENT REGISTRATION					4	6	3
13	6	2	CLIENT EDIT					4	6	4
13	6	3	CLIENT GROUP					4	6	5
13	6	4	WELCOME LETTER					4	**7**	
13	6	5	CUSTOMER CARE RESET PASSWORD					4	7	1
13	6	6	SECURITYWISE BROKERAGE					4	7	2
13	6	7	CLIENT BROKERAGE SLAB CHANGE REQUEST					4	7	3
13	6	8	CLIENT REGISTRATION ACCEPTANCE'					4	7	4
13	6	9	CLIENT MODIFICATION ACCEPTANCE'					4	**8**	
13	6	**B**	**Reports**					4	8	1
13	6	1	CLIENT VIEW					4	8	2
13	6	2	CLIENT LIST					4	8	3
13	6	3	CLIENT BROKERAGE DETAILS					4	8	4
13	6	4	BROKERAGE SLAB LISTING					4	8	5

13	6	5	BROKERAGE SLAB CHANGE DETAILS					4	8	6
13	6	6	STOCK REGISTER					4	8	7
13		**7**	**SURVEILLANCE**	**SRV M**				4	**9**	
13	7	**A**	**Definition**					4	9	1
13	7	1	TERMINAL MARGIN					4	9	2
13	7	**B**	**Reports**					4	9	3
13	7	1	CANNED FUND SUMMARY					4	9	4
13	7	2	EARLY PAYIN OF FUNDS					4	**10**	
13	7	3	CLIENT NET WITH LEDGER BALANCE					4	10	1
13	7	4	GROSS EXPOSURE					4	10	2
13	7	5	NET LOSS STATEMENT					4	10	3
13	7	6	SPAN MARGIN					4	10	4
13	7	7	HOLDING STATEMENT					4	10	5
13	7	8	TENTATIVE SHORTAGE					4	**11**	
13	7	9	SELLING OF SECURITIES					4	11	1
13	7	10	BG & FD REPORT					4	11	2
13	7	11	GLOBAL RISK					4	11	3
13	7	12	SUBBROKER RISK					4	11	4
13	7	13	NET LOSS					4	**12**	
13	7	14	SHORTAGE RISK REPORT					4	12	1
13	7	15	CLIENT MARGIN/EXCHANGE SHORTFALL					4	12	2
13	7	16	UTILIZATION % OF LEDGER					4	12	3
13	7	17	VAR MARGIN BLOCKED					4	**13**	
13	7	18	EARLY PAYIN OF SHARES					4	13	1
13		**8**	**ADMIN**	**ADMN M**				4	13	2
13	8	**A**	**Definition**					4	13	3
13	8	1	SBREGION					4	**14**	
13	8	2	SBBRANCH					4	14	1
13	8	3	USER					4	14	2
13	8	4	USER GROUP					4	14	3
13	8	5	MODULE ACCESS RIGHTS					4	**15**	
13	8	6	CHANGE PASSWORD					4	15	1
13	8	7	BULK MAIL					4	15	2
13	8	8	UPLOAD CIRCULAR					4	15	3
13		**9**	**CLIENT NET**	**CLNT M**				4	15	4
13	9	1	CLIENT NET					4	**16**	
13		**10**	**CREDIT REQUEST**	**CREDIT M**				4	16	1
13	10	**A**	**Definition**					4	16	2
13	10	1	CREDIT REQUEST ENTRY					4	16	3
13		**11**	**SALES PROMOTION**	**SALES M**				4	16	4
13	11	**A**	**Definition**					**5**		
13	11	1	BULK EMAILS					5	**1**	
13	11	2	BIRTHDAY MAIL SETTINGS					5	1	1
13	11	3	BROKERAGE REDUCTION SETTINGS					5	1	2
13		**12**	**SERVICE & SUPPORT**	**WRKFLW**				5	1	3
13	12	**A**	**Home**					5	1	4
13	12	**B**	**Administration**					5	1	5
13	12	1	ESCALATION LEVEL					5	1	6
13	12	2	MASTER					5	1	7
13	12	3	USER CONFIGURATION					5	1	8
13	12	4	LEVEL WISE USERS					5	1	9
13	12	**C**	**Complaints**					5	1	10
13	12	1	REGISTRATION					5	**2**	
13	12	**D**	**Reports**					5	2	1
13	12	1	STATUS REPORT					5	2	2

13	12	2	TICK STATUS LOG					5	2	3
13		**13**	**CIRCULARS**	**CIRCLR**				5	2	4
13	13	**A**	**Definition**					5	2	5
13	13	1	ACCOUNTS & FINANCE					5	2	6
13	13	2	ADMIN					5	**3**	
13	13	3	CLIENT REGISTRATION & BROKERAGE					5	3	1
13	13	4	DEPOSITORY					5	3	2
13	13	5	DERIVATIVES					5	3	3
13	13	6	GENERAL					5	**4**	
13	13	7	HUMAN RESOURCE					5	4	1
13	13	8	INSPECTION					5	4	2
13	13	9	INTERNET TRADING					5	4	3
13	13	10	LEGAL AND COMPLIANCE					5	**5**	
								5	5	1
8			**REPORTS**					5	5	2
8		**1**	**MIS Reports**	**MIS R**				5	5	3
8	1	1	Sparc Analytics		**SELECTIONS**	**OUTPUT**		5	5	4
8	1		S-S19		S-SELECTIONS	O-OUTPUT		5	5	5
8	1		O-O3,O5,O7,O15,O16,O65,O66,O89,O95,O109		S1 PARTICULAR EXCHANGE	O1 DATE		5	5	6
8	1	2	Daily Brokerage		S2 EXCHANGE(CASH/FUTURES/OPTIONS)	O2 TRADE CODE		5	5	7
8	1		S-S1,S2,S7,S19		S3 SETTLEMENT WISE	O3 EXCHANGE/CASH		5	**6**	
8	1		O-O1,O2,O5,O7,O39,O65,O66		S4 AMOUNTS	O4 CLIENT TYPE/NUMBER		5	6	1
8	1	3	Monthly Brokerage Report		S5 INTRA SETT DAYS	O5 BUY/SELL QTY/VALUE		5	6	2
8	1		S-S1,S2,S19		S6 DATE(VOUCHER/CLEAR/EFFECTIVE)	O6 RATE		5	6	3
8	1		O-O7,O26,O27,O28		S7 SUBBROKER/BRANCH	O7 AMOUNT		5	6	4
8	1	4	TurnOver Commission Report		S8 CLIENT	O8 SCRIP		5	**7**	
8	1		S-S1,S2,S7,S19		S9 ALL CLIENTS STATUS WISE	O9 SETTLEMENT NUMBER		5	7	1
8	1		O-O3,O7,O11,O13,O15,O16,O26,O71,O83,O89,O90		S10 SCRIP/SYMBOL	O10 CONTRACT NO		5	7	2
8	1	5	Remisor Report		S11 ALL SCRIPS	O11 BROKERAGE(PERCENT/MINIM)		5	7	3
8	1		S-S1,S7,S19		S12 DP TYPE	O12 PAYIN/OUT		5	7	4
8	1		O-O1,O2,O3,O15,O16,O26,O30,O90		S13 P/L TRANSFER A/C	O13 NETQTY		5	**8**	
8	1	6	Brokerage For The Period		S14 GROUP/SUB GROUP	O14 AVG RATE		5	8	1
8	1		S-S1,S2,S7,S8,S19		S15 CERTIFICATE NO	O15 NET AMOUNT		5	8	2
8	1		O-O1,O2,O5,O7,O39,O65,O66,O109		S16 REGIONS	O16 TURNOVER		5	**9**	
8	1	7	Top N Branch Clients		S17 DPID	O17 RUNNING BALANCE		5	9	1
8	1		S-S1,S2,S7,S8,S19,S26		S18 CLIENT DEMAT A/C CODE	O18 LEDGER BALANCE		5	9	2
8	1		O-O1,O2,O3,O5,O16,O56,O57,O58,O109		S19 DATE(FROM/TO/SPECIFIC)	O19 CASH MARGIN		5	9	3
8	1	8	High Value Contract		S7 BRANCHES	O20 SCRIP MARGIN		5	**10**	
8	1		S-S1,S2,S7,S8,S19		S10 SYMBOL	O22 TYPE		5	10	1
8	1		O-O2,O26,O34,O49,O57		S22 TYPE	O23 VR NO		5	10	2
8	1	9	Traded/Inactive Clients		S23 SCRIP/CLIENT OR CLIENT/SCRIP	O24 NARRATION		5	**11**	
8	1		S-S2,S25,S26,S87,S88		S24 INSTRUMENT	O25 A/C CODE		5	11	1
8	1		O-O1,O2,O26,O87,O88,O57		S25 PENDING/RELEASED	O26 CLIENT NAME		5	11	2
8	1	10	Monthly Client Enroll List		S26 DEBIT BALANCE	O27 EXP/INCOME		5	11	3
8	1		S-S7,S16,S19		S27 OPEN POSITION/ORDER BY VALUE	O28 ASSET/LIABLITY		5	**12**	
8	1		O-O1,O2,O26		S28 BROKERAGE SLAB/DESCRIPTION	O29 GROUP		5	12	1
8	1	11	Target and Achievements		S29 PURCHASE/SALES	O30 SERVICE TAX		5	12	2
8	1		S-S7,S8,S16,S19		S30 STATUS(PENDING/REJECTED/ACCEPTED)	O31 DR/CR		5	**13**	
8	1		O-O3,O4,O7,O85,O109,		S31 ENROLLED DATE(FROM/TO)	O32 CRT NO		5	13	1
8		**2**	**TRADING Reports**	**TRD R**	S32 SORT ORDER	O33 DIST NOS		5	13	2
8	2	1	Trade Code Correction Report		S33 LEDGER BALANCE/ACCOUNT	O34 TRANS DATE		5	**14**	
8	2		S-S1,S7,S8,S9,S19		S34 DEBIT/CREDIT	O35 TD NOS		5	14	1
8	2		O-O1,O2,O3,O5,O8,O65,O72,O89,O95		S35 PERCENT/MINIMUM BROKERAGE VALUE	O36 HOLDER		5	14	2
8	2	2	Confirmation Note		S36 BANK DETAILS	O37 BOOK CLOSURE		5	14	3
8	2		S-S1,S2,S3,S7,S8,S10S,S19		S37 DP DETAILS	O38 DELIVERY NO		**6**		

8	2		O-O1,O2,O3,O5,O6,O7,O8,O9,O10,O15,O31,O50,O51.O56,O72,O91		S38 REFRESH	O39 REMARK		6	**1**	
8	2	3	Contract Note		S39 PAYIN/PAYOUT	O40 DELIVERYIN/OUT		6	1	1
8	2		S-S1,S7,S8,S19		S40 FILE PATH	O41 OPSTOCK		6	1	2
8	2		O-O1,O2,O3,O5,O6,O7,O8,O9,O10,O15,O31,O50,O56,O72,O91		S41 POSITION(SELL/BUY/PENDING/SHORT)	O42 PENDING		6	1	3
8	2	4	Digital Contract Note(DCN)		S42 PAYOUT TYPE(BEN/POOL)	O43 VOUCHERID		6	1	4
8	2		S-S1,S7,S8,S19,S40		S43 TRANSACTION TYPE	O44 VAR %		6	**2**	
8	2		O-O1,O2,O3,O5,O6,O7,O8,O9,O10,O15,O31,O50,O56,O72,O91,O93		S44 STRIKE PRICE	O45 DP		6	2	1
8	2	5	Client Bill		S45 CHEQUE STATUS	O46 HOLDING QTY		6	2	2
8	2		S-S3,S7,S8,S19,S10		S46 PAYOUT MODE	O6 RATE		6	**3**	
8	2		O-O1,O2,O3,O5,O7,O14,O56,O58		S47 ACCOUNTS GROUP	O48 HOLDING VALUE(DP/BEN/NORMAL)		6	3	1
8	2	6	Average Analysis		S48 VOUCHER TYPE	O49 CONTRACT		6	3	2
8	2		S-S3,S7,S8,S19,S10		S45 CHEQUE STATUS	O50 P&L		6	3	3
8	2		O-O1,O2,O3,O5,O7,O14,O56,O58			O51 ADDRESS\EMAIL\TEL NO		6	3	4
8	2	7	Trade Details/Levies			O52 BANK\DP DETAILS		6	3	5
8	2		S-S1,S2,S3,S7,S8,S10S,S19,S40			O53 PENDING RECEIPTS		6	**4**	
8	2		O-O1,O3,O5,O6,O7,O8,O9,O15,O31,O50,O56,O57,O72,O91,O94,O95			O54 UNACCOUNTED BAL		6	4	1
8	2	8	Trade Summary			O55 A/C TYPE		6	4	2
8	2		S-S1,S3,S7,S8,S10,S19			O56 PRINT		6	4	3
8	2		O-O2,O3,O5,O6,O7,O8,O9,O13,O14,O50,O57,O58			O57 EXPORT		6	**5**	
8	2	9	Trade Ledger			O58 PREVIEW/VIEW		6	5	1
8	2		S-S1,S2,S3,S7,S8,S19,S34			O59 OPENING RATE		6	5	2
8	2		O-O2,O7,O12,O51,O57,O58			O60 CLOSING RATE		6	5	3
8	2	10	P&L Report			O61 HIGH 52 WEEK		6	**6**	
8	2		S-S7,S8,S19			O62 LOW 52 WEEK		6	6	1
8	2		O-O1,O2,O3,O5,O7,O14,O56,O58			O63 DAY HIGH		6	6	2
8	2	11	STT Annexure			O64 DAY LOW		6	6	3
8	2		S-S1,S2,S7,S8,S9,S16,S19			O65 TRADE VALUE		6	6	4
8	2		O-O1,O2,O3,O5,O9,O26,O31,O56,O58			O66 NO. OF TRADES		6	6	5
8	2	12	STT Report			O67 NEW VOL/NORMAL BRK SLAB		6	**7**	
8	2		S-S7,S8,S1,S19			O68 NORMAL/SPEC BRK SLAB		6	7	1
8	2		O-O1,O2,O3,O56,O58			O69 FO BROKERAGE SLAB		6	7	2
8	2	13	Settlement Calendar			O70 ADD/SAVE		6	7	3
8	2		S-S1,S3,S19			O71 LOCATION/BRANCH		6	**8**	
8	2		O-O1,O3,O9,O57,O96			O72 SECURITY CODE		6	8	1
8	2	14	Daily Closing Rate			O73 ISIN		6	8	2
8	2		S-S1,S2,S10,S19			O74 SETTL YEAR		6	8	3
8	2		O-O34,O8,O59,O60,O61,O62,O63,O64,O65,O66			O75 DP CLIENT ID		6	8	4
8	2	15	Corporate Action List			O76 RECVD QTY		6	**9**	
8	2		S-S10,S19			O2 TRADE CODE		6	9	1
8	2		O-O37,O38,O39,O40			O79 INSTRUMENT TYPE		6	9	2
8		**3**	**ACCOUNTS Reports**	**ACC R**		O80 EFFECTIVE DATE		6	9	3
8	3	1	Ledger			O81 OPTION TYPE		6	9	4
8	3		S-S6,S7,S8,S16,S19,S33,S47			O82 STRIKE PRICE		6	**10**	
8	3		O-O1,O2,O7,O15,O18,O23,O24,O25,O26,O43,O52			O83 OPEN VALUE		6	10	1
8	3	2	Bank Book			O84 DEBIT(FO/UNCOVERED/MARGIN)		6	10	2
8	3		S-S7,S16,S19,S30,S36			O85 NSEFO/NSECD DETAILS		6	10	3
8	3		O-O1,O2,O7,O15,O18,O23,O24,O25,O26,O43,O52			O86 DIS CHARGES		6	10	4
8	3	3	DayBook			O87 PAN NUMBER		6	**11**	
8	3		S-S6,S7,S8,S16,S19,S38,S48			O88 STATUS		6	11	1
8	3		O-O1,O2,O23,O25,O26,O31,O34,O43,O53,O56,O57,O58			O89 PURCHASE/SALES		6	11	2
8	3	4	Trial Balance			O90 AVERAGE TRADED CLIENTS		6	11	3
8	3		S-S6,S7,S8,S16,S19,S33,S47			O91 PRINT OF BILL/CONTRACT/LEDGER		6	11	4
8	3		O-O1,O2,O23,O25,O26,O31,O34,O43,O53,O56,O57,O58			O92 PLEDGE DETAILS		**7**		
8	3	5	Statements of Accounts			O93 EMAIL		7	**1**	
8	3		S-S1,S2,S6,S7,S8,S19,			O94 TRADE DETAILS/CHARGES		7	1	1

8	3		O-O1,O2,O3,O7,O9,O23,O24,O25,O26,O31,O43,O51,O53			O95 ORDER NO		7	1	2
8	3	6	Fund Flow			O96 NORMAL/AUCTION SETL DATES		7	1	3
8	3		S-S7,S16,S19,S30			O97 TRADING/DELIVERY % PASSON		7	**2**	
8	3		O-O1,O7,O23,O24,O25,O31			O98 NORMAL/AUCTION DELIVERED		7	2	
8	3	7	Valan Summary			O99 NORMAL/AUCTION PENDING		7	2	1
8	3		S-S1,S2,S3,S7,S19,S22			O100 EARLY PAYIN DETAILS		7	2	2
8	3		O-O1,O2,O3,O7,O12,O25,O24,O26,O43,O52,O55			O101 INTERNAL/EXTERNAL SHORT QTY		7	2	3
8	3	8	Funds PayIn Statement			O102 TRANSACTION TYPE		7	2	4
8	3		S-S7,S8,S16,S19,S45			O103 EXERCISE AND ASSIGN DETAILS		7	2	5
8	3		O-O1,O2,O3,O7,O12,O25,O24,O26,O43,O52,O55			O104 OPTN/EXER/ASSIGN/CLOUT/VALUE		7	2	6
8	3	9	Funds PayOut Details			O105 CTCL DETAILS		7	2	7
8	3		S-S7,S8,S19,S30,S46			O106 MARGIN DETAILS		7	2	8
8	3		O-O1,O2,O3,O7,O12,O25,O24,O26,O43,O52,O55			O107 COLLATERALS(FD/BG DETAILS)		7	**3**	
8	3	10	Bounced Cheques Report			O108 INTEREST		7	3	1
8	3		S-S7,S16,S19,S30			O109 BROKERAGE VALUE		7	3	2
8	3		O-O1,O2,O3,O7,O53			O110 BRANCH BANK		7	3	3
8	3	11	Service Tax Statement			O106 MARGIN DETAILS		7	**4**	
8	3		S-S19,S7			O107 COLLATERALS		7	4	1
8	3		O-O1,O2,O30,O31,O50,O60,O57,O58			O108 INTEREST		7	4	2
8	3	12	Debtors Penalty Allocation			O109 BROKERAGE VALUE		7	4	3
8	3		S-S7,S16,S19,S30					7	**5**	
8	3		O-O1,O7,O23,O24,O25,O108					7	5	1
8		**4**	**DERIVATIVE Reports**	**DRTV R**				7	5	2
8	4	1	Trade Summary					7	5	3
8	4		S-S1,S2,S7,S8,S22,S24,S44					7	5	4
8	4		O2,O3,O5,O6,O7,O8,O13,O14,O18,O26,O41,O49,O50,O60,O71,O72,O81,O82,O83					7	5	5
8	4	2	Client Bill					7	**6**	
8	4		S-S3,S7,S8,S19,S10					7	6	1
8	4		O-O1,O2,O3,O5,O7,O14,O56,O58					7	6	2
8	4	3	All Reports/TurnOver					7	6	3
8	4		S-S1,S2,S7,S8,S22,S24,S44					7	**7**	
8	4		O-O2,O3,O5,O104					7	7	1
8	4	4	OpenPosition					7	7	2
8	4		S-S7,S27					7	7	3
8	4		O-O2,O26,O79,O72,O49,O72,O81,O82,O5,O83,O13					7	7	4
8	4	5	Exercise and Assign					7	7	5
8	4		S-S19,S7,S8					7	**8**	
8	4		O-O1,O2,O3,O56,O57,O58,O103					7	8	1
8	4	6	Open Position And P AND L					7	8	2
8	4		S-S1,S7,S19					7	8	3
8	4		O-O2,O5,O6,O8,O14,O15,O18,O6,O49,O50,O57,O58,O79					7	**9**	
8	4	7	Net Position					7	9	1
8	4		S-S1,S2,S7,S8,S22,S24,S44					7	9	2
8	4		O2,O3,O5,O6,O7,O8,O13,O14,O18,O26,O41,O49,O50,O60,O71,O72,O81,O82,O83					7	**10**	
8	4	8	In The Money					7	10	1
8	4		S-S1,S2,S7,S8,S22,S24,S44					7	10	2
8	4		O2,O3,O5,O6,O7,O8,O13,O14,O18,O26,O41,O49,O50,O60,O71,O72,O81,O82,O83					7	10	3
8	4	9	Client Summary					7	10	4
8	4		S-S1,S3,S7,S8,S10,S19					7	**11**	
8	4		O-O2,O3,O5,O6,O7,O8,O9,O13,O14,O50,O57,O58					7	11	1
8	4	10	Settlement Statement					7	11	2
8	4		S-S1,S2,S7,S8,S16,S19,S22					7	11	3
8	4		O2,O3,O5,O6,O7,O8,O13,O14,O18,O26,O41,O49,O50,O60,O71,O72,O81,O82,O83					7	11	4
8	4	11	Confirmation Note					7	11	5

8	4		S-S1,S2,S3,S7,S8,S10S,S19					7	**12**	
8	4		O-O1,O2,O3,O5,O6,O7,O8,O9,O10,O15,O31,O50,O51.O56,O72,O91					7	12	1
8	4	12	Trade Details/Levies					7	12	2
8	4		S-S1,S2,S3,S7,S8,S10S,S19,S40					7	12	3
8	4		O-O1,O3,O5,O6,O7,O8,O9,O15,O31,O50,O56,O57,O72,O91,O94,O95					7	**13**	
8	4	13	Contract Note					7	13	1
8	4		S-S1,S7,S8,S19					7	13	2
8	4		O-O1,O2,O3,O5,O6,O7,O8,O9,O10,O15,O31,O50,O56,O72,O91					7	13	3
8	4	14	Premium Receivable/Payable					7	13	4
8	4		S-S7,S8,S19,S23					7	13	5
8	4		O-O2,O26,O79,O72,O49,O76					7	**14**	
8		**5**	**CUSTODY Reports**	**C&S R**				7	14	1
8	5	1	Settlement Position					7	14	2
8	5		S-S7,S10,S8,S3,S19,S38,S41					7	14	3
8	5		OA-O2,O5,O8,O9,O13,O40,O72,O75,O76,O96,O98,O99,O56,O57,O58					7	**15**	
8	5		OB-O1,O2,O5,O8,O9,O13,O24,O34,O39,O45,O72,O73,O75,O76					7	15	1
8	5	2	Transaction Statement					7	15	2
8	5		S-S3,S7,S8,S17,S18,S19,S10					7	15	3
8	5		O-O1,O2,O3,O5,O6,O7,O8,O9,O10,O15,O31,O50,O51.O56,O72,O91					7	**16**	
8	5	3	PayIN Shortage					7	16	1
8	5		S-S3,S7,S10					7	16	2
8	5		O-O2,O8,O9,O101					7	16	3
8	5	4	Branch PayIn					7	**17**	
8	5		S-S3,S7,S10					7	17	1
8	5		OA-O2,O5,O8,O9,O13,O40,O72,O75,O76,O96,O98,O99,O56,O57,O58					7	17	2
8	5	5	Outward Register					7	17	3
8	5		S-S1,S2,S7,S8,S19,S39					7	**18**	
8	5		O-O1,O2,O8,O9,O13,O26,O46,O48,O60,o72,O73,O75,O76					7	18	1
8	5	6	Branch PayOut					7	18	2
8	5		S-S3,S7,S10					7	18	3
8	5		OA-O2,O5,O8,O9,O13,O40,O72,O75,O76,O96,O98,O99,O56,O57,O58					7	18	4
8	5	7	Security PayOut Report					7	**19**	
8	5		S-S7,S8,S19,S39					7	19	1
8	5		O-O1,O2,O3,O12,O13,O22,O40,O42,O54,O84,O102					7	19	2
8	5	8	Intersettlement Shifting					7	19	3
8	5		S-S3,S7,S10,S12					7	19	4
8	5		O-O1,O2.O3,O8,O9,O34,O58					**8**		
8	5	9	Corporate Action/Dividend Report					8	**1**	
8	5		S-S8,S19,S7,S10					8	1	1
8	5		O-O2,O2,O26,O8,O73,O5,O24,O7					8	1	2
8	5	10	Corporate Action/Bonus Report					8	1	3
8	5		S-S3,S8,S7,S10,S23					8	1	4
8	5		O-O71,O72,O73,O2,O5,O55,O9,O22					8	1	5
8	5	11	DP Charge Details					8	1	6
8	5		S-S1,S7,S8,S19,S43					8	1	7
8	5		O-O1,O2,O3,O5,O6,O8,O9,O26,O57,O71,O72,O73,O102					8	1	8
8	5	12	Share Movement History					8	1	9
8	5		S-S7,S10,S8,S3,S19					8	1	10
8	5		O-O2,O34,O26,O72,O9,O73,O75,O76,O57					8	1	11
8	5	13	PayIN/Early PayIN Report					8	1	12
8	5		S-S1,S2,S3,S7					8	1	13
8	5		O-O5,O6,O8,O9,O44,O100					8	1	14
8	5	14	PayOut/PayOut Shortage					8	1	15
8	5		S-S3,S7,S10					8	1	16
8	5		O-O2,O8,O9,O101					8	1	17

8	5	15	Auction Confirmation Note					8	**2**	
8	5		S-S1,S2,S3,S7,S8,S10S,S19					8	2	1
8	5		O-O1,O2,O3,O5,O6,O7,O8,O9,O10,O15,O31,O50,O51.O56,O72,O91					8	2	2
8	5	16	Auction Client Bill					8	2	3
8	5		S-S3,S7,S8,S19,S10					8	**3**	
8	5		O-O1,O2,O3,O5,O7,O14,O56,O58					8	3	1
8	5	17	Ben Holding Report					8	3	2
8	5		S-S3,S7,S8,S19,S10					8	3	3
8	5		O-O2,O8,O9,O46,O48,O102					8	3	4
8	5	18	Client Pending With Previous Buy Position					8	**4**	
8	5		S-S3,S7,S8,S19,S10					8	4	1
8	5		O-O2,O8,O9,O46,O48					8	4	2
8	5	19	Security Pledge/Unpledged Report					8	4	3
8	5		S-S3,S7,S8,S19,S10					8	4	4
8	5		O-O2,O5,O8,O9,O13,O32,O46,O48,O73,O74					8	4	5
8		**6**	**CRD Reports**	**CRD R**				8	**5**	
8	6	1	Client View					8	5	1
8	6		S-S7,S8					8	5	2
8	6		O-O2,O3,O4,O22,O26,O45,O51,O52,O55,O58,O68,O69,O71,O75,O87,O88					8	5	3
8	6	2	Client List					8	5	4
8	6		S-S7,S8,S9,S19,S7,S22					8	5	5
8	6		O-O1,O2,O3,O4,O22,O26,O45,O52,O55,O56,O57,O75,O87,O88					8	**6**	
8	6	3	Client Brokerage Details					8	6	1
8	6		S-S1,S2,S7,S8,S7					8	6	2
8	6		O-O2,O3,O11,O26,O57,O68,O69					8	6	3
8	6	4	Brokerage Slab Listings					8	6	4
8	6		S-S1,S35					8	**7**	
8	6		O-O3,O11,O57,O68,O69					8	7	1
8	6	5	Brokerage Slab Change Details					8	7	2
8	6		S-S7,S19					8	7	3
8	6		O-O1,O2,O3,O11,O68,O69,O58					8	7	4
8	6	6	Stock Register					8	7	5
8	6		S-S7,S16,S46					8	**8**	
8	6		O-O41,O56,O57,O71					8	8	1
8	6	7	Welcome Letter					8	8	2
8	6		S-S7,S8,S19,S36,S37					8	8	3
8	6		O-O2,O26,O71,O52,O56,O58					8	**9**	
8	6	8	Client Address					8	9	1
8	6		S-S7,S8,S9,S7,S30					8	9	2
8	6		O-O2,O26,O51,O56,O58					8	9	3
8		**7**	**Surveillance Reports**	**SRV R**				8	**10**	
8	7	1	Canned Fund Summary					8	10	1
8	7		S-S7,S8,S19					8	**11**	
8	7		O-O1,O2,O3,O7,O18,O19,O27,O83,O106,O107					8	11	1
8	7	2	Early Payin of Funds					8	11	2
8	7		S-S1,S2,S3,S8,S9,S19,S22					8	11	3
8	7		O-O2,O3,O5,O6,O7,O8,O15,O26,O72,O73					8	11	4
8	7	3	Client Net with Ledger Balance					8	**12**	
8	7		S-S7,S8,S19,S6,S33,S34					8	12	1
8	7		O-O2,O26,O31O51,O53,O54,O56,O57					8	12	2
8	7		Gross Exposure					8	12	3
8	7		S-S1,S7					8	**13**	
8	7		O-O18,O92,O100,O106,O107,O110					8	13	1
8	7		Net Loss Statement					8	13	2
8	7	4	S-S7,S8,S16,S33					8	13	3

8	7		O-O2,O7,O46,O48,O84					8	13	4
8	7		Span Margin					8	13	5
8	7	5	S-S1,S2,S10					8	**14**	
8	7		O-O3,O8,O20,O60,O72					8	14	1
8	7		Holding Statement					8	14	2
8	7	6	S-S1,S2,S7,S8,S16,S33,S34					8	14	3
8	7		O-O2,O8,O26,O46,O48,O53,O54,O72					8	14	4
8	7		Tentative Shortage					8	**15**	
8	7	7	S-S3,S7,S10					8	15	1
8	7		O-O2,O8,O9,O101					8	15	2
8	7		Selling of Securities					8	15	3
8	7	8	S-S1,S2,S7,S8,S16,S19					8	16	
8	7		O-O1,O2,O3,O5,O8,O13,O26,O72					8	16	1
8	7		BG & FD Report					8	16	2
8	7	9	S-S1,S2,S7,S8,S16,S19,O24,O25					8	16	3
8	7		O-O1,O2,O3,O7,O42,O52,O107					8	16	4
8	7		Global Risk					8	**17**	
8	7	10	S-S1,S7,S8,S16,S19					8	17	1
8	7		O-O3,O5,O7,O18,O26,O46,O48,O79,O85,O89,O99,O100,O106,O107					8	17	2
8	7		Subbroker Risk					8	17	3
8	7	11	S-S7,S8					8	17	4
8	7		O-O3,O19,O22,O71,O84,O106					8	17	5
8	7		Net Loss					**9**		
8	7	12	S-S7,S8,S16,S33					9	**1**	
8	7		O-O2,O7,O46,O48,O84					9	1	1
8	7		Shortage Risk Report					9	1	2
8	7	13	S-S3,S7,S10					9	1	3
8	7		O-O2,O8,O9,O101					9	1	4
8	7		Client Margin/Exchange Shortfall					9	**2**	
8	7	14	S-S7,S8,S19,S6,S33,S34					9	2	1
8	7		O-O2,O26,O31O51,O53,O54,O56,O57,O92,O106,O107					9	2	2
8	7		Utilization % of Ledger					9	2	3
8	7	15	S-S7,S8,S19,S6,S33,S34					9	**3**	
8	7		O-O2,O26,O31O51,O53,O54,O56,O57,O107					9	3	1
8	7		Var Margin Blocked					9	3	2
8	7	16	S-S1,S2,S7,S8					9	3	3
8	7		O-O1,O8,O30,O44,O57,O58,O59,O60					9	**4**	
8	7		Early Payin of Shares					9	4	1
8	7	17	S-S1,S2,S7,S8,S19					9	4	2
8	7		O-O2,O7,O8,O26,O46,O48,O84,O72					9	4	3
8	7		Collateral Report					9	**5**	
8	7	18	S-S1,S8,S10,S24,S19,S25					9	5	1
8	7		O-O34,O2,O4,O3,O7,O79,O52,O71,O1					9	5	2
8	7		CTCL Terminal List					9	5	3
8	7	19	S-S7,S16					9	**6**	
8	7		O-O3,O71,O105					9	6	1
8	7		VAR Margin Report					9	6	2
8	7	20	S-S1					9	6	3
8	7		O-O1,O8,O30,O44,O57,O58,O59,O60					9	**7**	
8		**8**	**CLIENT NET**	**CNT R**				9	7	1
8	8	1	Client Net					9	7	2
8	8		S-S1,S2,S6,S8,S19					9	7	3
8	8		OA1-O1,O3,O7,O9,O15,O23,O24,O25,O31,O34,O43,O45,O51,O52,O91					9	**8**	
8	8		OA2-O7,O23,O24,O31,O53,O54,O55,O88					9	8	1
8	8		OB-O8,045,046,047,048					9	8	2

8	8		OC-O5,O6,O7,O8,O13,O14,O15,O49,O50					9	8	3
8	8		OD-O17,O18,O92					9	**9**	
8	8	2	Client View					9	9	1
8	8		S-S7,S8					9	9	2
8	8		O-O2,O3,O4,O22,O26,O45,O51,O52,O55,O58,O68,O69,O71,O75,O87,O88					9	9	3
								9	**10**	
								9	10	1
								9	10	2
								9	10	3
								9	**11**	
								9	11	1
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								9	11	3
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								9	**12**	
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								9	**18**	
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								9	**19**	
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								9	**26**	
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								10	**4**	
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								10	**5**	
								10	5	1
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								10	**6**	
								10	6	1
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								10	**7**	

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								10	**8**	
								10	8	1
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								11		
								11	**1**	1
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								12	**3**	
								12	3	1
								12	3	2
								12	3	3
								12	**4**	
								12	4	1

								12	4	2
								12	**5**	
								12	5	1
								12	5	2

CLICK SPARC LITE FROM THE DESKTOP(Note: Only Mandatory Fields are required to be filled. Selection of Other options helps in Filtering of Data.)		**Report Selection**	Date(Trans/Maturity/Enroll/Specific)	Region/ISS Branches	Client Type	Client Name/ID	Exchange(NSE/BSE/NSEFO/NSECD	Normal Delivery/Speculation Brokerage Slab/Data of Brokerage	FO Brokerage Slab	Trade Code	Bank Details	Address/Email/Tel No.	Ledger(Cash/FO)	Debit/Credit	Balance	Narration	Settlement Number	Amount	Pending Receipts	DP Details	Security/Scrip	Released /Holding Qty/Value	Instrument	Buy/Sell /Net Qty	Avg Rate	Profit & Loss
LOGIN WINDOW																										
Enter the LoginID	1	Sparc Analytics	Y	Y	N	N	Y	N	N	N	N	N	Y	Y	Y	N	N	Y	N	N	N	Y	N	Y	N	Y
Enter the Password	2	Daily Brokerage	Y	Y	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	Y
Click on OK	3	Monthly Brokerage	Y	Y	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	Y
MIS Module	4	Turnover Commission	Y	Y	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	Y
Fin Year Target	5	Remisor Report	Y	Y	N	Y	Y	Y	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	Y	N	N	N	N
Select the Sbbranch	6	Brokerage For The Period	Y	Y	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	Y
Select the Year	7	Top N Branches/Clients	Y	Y	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
Click on View. Fill the Clients and Brokerage in cash and Derivative	8	High value Contracts	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
Click on save to save the Entry	9	Traded/Inactive Clients	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Sparc Analytics	10	Monthly Client Enroll List	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the Trade Date	11	Target And Achievements	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Click on View. This Report shows analysis for that Subbroker for that Trading date	12	Trade Correction	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	Y	N	Y	N	N
Daily Brokerage	13	Confirmation Note	Y	Y	N	Y	Y	N	N	Y	N	Y	N	N	N	N	Y	Y	N	N	Y	N	N	Y	N	N
Select the Exchange and the Period	14	ContractNoteReport	Y	Y	N	Y	Y	Y	N	Y	N	Y	N	Y	N	Y	Y	Y	N	N	Y	Y	N	Y	N	Y
Select the Report View and Click on Preview	15	Digital Contract Note-DCN	Y	Y	N	Y	Y	Y	N	Y	N	Y	N	Y	N	Y	Y	Y	N	N	Y	Y	N	Y	N	Y
Monthly brokerage	16	ClientBill	Y	Y	N	Y	Y	Y	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	Y	Y	N
Select the Exchange and Period in Months	17	Average Analysis	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	Y	Y	N
Click on Preview to view the Report	18	Trade Details\Levies	Y	Y	N	Y	Y	Y	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	Y	N	N
Turnover Commission Report	19	Trade Summary	Y	Y	N	Y	Y	N	N	Y	N	N	Y	N	N	N	Y	Y	N	N	Y	N	N	Y	Y	Y
Select the Exchange and Period	20	Trade Ledger	Y	Y	N	Y	Y	N	N	Y	N	N	N	Y	Y	N	Y	Y	N	N	N	N	N	N	N	Y
Select Report Type and Option	21	P&L Report	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	N	Y	N	Y
Click on Preview to see the Report	22	STT Annexure	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	N	N	N
Remisor Report	23	STT Report	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Enter the Exchange and Branch	24	Daily Closing Report	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N
Select the Period	25	Corporate Action List	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N
Select the Type to view the required details and Click on Preview	26	Settlement Calendar	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N	N
Brokerage For the Period	27	Ledger	Y	Y	N	Y	Y	N	N	Y	Y	N	Y	Y	Y	Y	Y	Y	Y	N	N	N	N	N	N	Y
Select the Exchange and the Period	28	Bank Book	Y	Y	N	Y	N	N	N	N	Y	N	Y	Y	Y	Y	N	Y	Y	N	N	N	N	N	N	N
Select the Report View and Click on Preview	29	DayBook	Y	Y	N	Y	N	N	N	Y	Y	N	Y	Y	Y	Y	Y	Y	Y	N	N	N	N	N	N	N
Top N Branches/Clients	30	Trial Balance	Y	Y	N	N	Y	N	N	Y	N	N	Y	Y	Y	Y	N	Y	N	N	N	N	N	N	N	Y
Select the Dates Specified.	31	Statements of Accounts	Y	Y	N	Y	Y	Y	Y	Y	N	N	Y	Y	Y	Y	Y	Y	Y	N	Y	Y	Y	Y	Y	Y
Select either of Volume/Brokerage	32	Fund Flow	Y	Y	N	Y	Y	Y	Y	Y	Y	N	Y	Y	Y	Y	N	Y	N	N	N	N	N	N	N	N
Select the Period and enter the value in textbox	33	Valan Summary	Y	Y	N	Y	Y	Y	Y	Y	Y	N	Y	Y	Y	Y	N	Y	N	N	N	N	N	N	N	N
Click on Preview to view the details.	34	Funds PayIn	Y	N	N	Y	Y	N	N	N	Y	N	Y	N	Y	Y	N	Y	N	N	N	N	N	N	N	N
High value Contract	35	Funds Pay-out Request	Y	N	N	Y	N	N	N	N	Y	N	Y	N	Y	Y	N	Y	N	N	N	N	N	N	N	N
Select the Exchange and the Period	36	Funds PayIn Details	Y	Y	N	Y	Y	N	N	Y	Y	N	Y	Y	Y	Y	N	Y	N	N	N	N	N	N	N	N
Enter the value in Top and Click on View	37	Funds Payout Details	Y	Y	N	Y	N	N	N	Y	Y	N	Y	Y	Y	Y	N	Y	Y	N	N	N	N	N	N	N
Traded/Inactive Clients	38	Bounced Cheques Report	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
This Report shows Dormant as well as Active trading Clients	39	Service Tax	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the Branch and Enroll Date	40	Debit Penalty	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N
Select the Status of the Client and Active/Inactive Period for Filter	41	Trade Summary	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	Y	Y	Y	Y

Click on View to view the details.	42	Client Bill	Y	Y	N	Y	Y	Y	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	Y	N	N
Select Export to view on Excel and Close to exit.	43	Turnover	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	Y	Y	Y	Y
Monthly Client Enroll List	44	Exchange Open Position	N	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	Y	Y	N	N
Select the Subbroker	45	Contract Exercise and assign	Y	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the Period and Click on View	46	Open Position and P and L	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	Y	Y	Y	N
Click on any row or cloumn to view the details	47	Net Position	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	Y	Y	Y	Y
Target and Achievements	48	In The Money	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	Y	Y	Y	Y
Select the Subbroker	49	Client Summary	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	Y	Y	Y	Y
Select the Process Date	50	Settlement Statement	Y	Y	N	Y	Y	N	Y	Y	N	N	Y	Y	Y	Y	Y	Y	N	N	Y	Y	Y	Y	N	N
Click on View to see the details	51	Confirmation Note	Y	Y	N	Y	Y	N	N	Y	N	Y	N	N	N	N	Y	Y	N	N	Y	N	N	Y	N	N
Put the Targets in Brokerage for Cash and Derivative	52	Contract Note	Y	Y	N	Y	Y	N	N	Y	N	Y	N	N	N	N	Y	Y	N	N	Y	N	N	Y	N	N
Trading Module	53	Trade List	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	Y	N	N
TradeCodeCorrection	54	Settlement Position	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	Y	Y	N	N	N	Y	N	N	N	N	N
Enter the Order No from data Lookup	55	Transaction Statement	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	Y	N	N
Enter the Changed Party Code from the list.	56	Payin/payin Shortage	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	N	N	N
Click on Save and Exit.	57	Branch PayIN Report	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	Y	Y	N	N	N	Y	N	N	N	N	N
Trade Code Correction Report	58	Outward Register	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	N	N	N
Select the Subbroker and Exchange	59	Branch Payout Report	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	Y	Y	N	N	N	Y	N	N	N	N	N
Select the Trade Date	60	Payout/Security Payout Report	N	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	Y	N	N
Click on View to see the details	61	Intersettlement	N	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N
Confirmation Note	62	Dividend report	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	Y	Y	Y	N	N	Y	N	N	N	N	N
Select the Branch, Client and Exchange from the Data LookUp.	63	BonusReport	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N
Select the Period or Settlement	64	DP Charge Details	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	N	N	N	N
Click on View or Preview to view the Report	65	ShareMovementRegister	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	Y	Y	N	N	Y	Y	N	N	Y	N	N
Click on Export to view in Excel.	66	Payin/Early PayIn Report	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	N	N	N
Contract Note	67	Payout/Payout Shortage	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	N	N	N
Select the Branch, Client and Exchange from the Data LookUp.	68	Auction Confirmation Note	Y	Y	N	Y	Y	N	N	Y	N	Y	N	N	N	N	Y	Y	N	N	Y	N	N	N	N	N
Select the Period for which Contract Note is to be Generated.	69	Auction Client Bill	Y	Y	N	Y	Y	Y	N	Y	N	Y	N	N	N	N	Y	Y	N	N	Y	N	N	N	N	N
Click on Print to Generate the Contract Note.	70	Ben Holding Report	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N
Select the Settlement type as Required	71	Client Pending with Previous Buy Position	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N
In Contract Note, Select the Printer and Click on Print	72	Security Pledge Report	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N
Digital Contract Note-DCN	73	Client View	Y	Y	Y	Y	N	N	N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the Branch, Client and Exchange from the Data LookUp.	74	Client List	Y	Y	Y	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N
Select the Period for which Digital Contract Note is to be Generated.	75	Client Brokerage Details	Y	Y	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the Settlement type as Required	76	Brokerage Slab Listing	Y	Y	N	N	Y	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the Path to store the DCN	77	Brokerage Slab Change Details	Y	Y	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Click on Generate to generate the DCN	78	Stock Register	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Client Bill	79	Reset Customer Care Password	N	Y	N	Y	N	N	N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the Settlement or date ,Branch and Client from the Existing Data.	80	Client Address	N	Y	N	Y	N	N	N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the other options as required	81	Client Slab Change Request	Y	N	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Click on preview to view the Client Bill.	82	Client Slab Change Approval	Y	Y	N	Y	Y	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Bill printing and Bill Summary are meant for Summarised bill	83	CannedFund Summary	Y	Y	N	Y	Y	Y	Y	Y	N	N	Y	Y	Y	N	N	Y	N	N	N	N	N	N	N	N
Click on Close to exit.	84	Early Payin of Funds	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	N	N	N
Average Analysis	85	Client Net with Ledger Balance	Y	Y	N	Y	Y	N	N	Y	N	N	Y	Y	Y	N	N	Y	Y	N	N	N	N	N	N	Y
Select the Datewise or Settlement wise option	86	Gross Exposure	Y	Y	N	N	Y	N	N	N	N	N	Y	Y	Y	N	N	Y	Y	N	N	Y	N	N	N	N
Select the Branch and Client	87	Net Loss Statement	Y	Y	N	Y	N	N	N	Y	N	N	Y	Y	N	N	N	Y	N	N	N	N	N	N	N	N
Click on Preview to view the Average Analysis for the selected fields.	88	Span Margin	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	Y	N	Y	Y	N	N
Click on Close to Exit.	89	Holding Statement	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N	Y	N	Y	Y	N	N	N	N
Trade Details/Levies Report	90	Tentative Shortage	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	Y	N	N
Select the Branch, Client and Exchange from the Data Lookup.	91	Selling of Securities	Y	Y	N	Y	Y	N	N	Y	N	N	Y	N	N	N	Y	Y	N	N	Y	N	N	Y	N	N
Select either the settlement or Period	92	BG & FD Report	Y	Y	N	Y	Y	N	N	Y	Y	N	Y	N	Y	N	N	Y	N	N	N	N	N	N	N	N
Select Trade details to view the details of Trades	93	Global Risk	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N	Y	N	Y	Y	N	N	N	N
Select the Trade option to download the Trades in CSv Format	94	Subbroker Risk	Y	Y	N	N	N	N	N	N	N	N	Y	N	Y	N	N	Y	N	N	Y	N	N	N	N	N
Select the Client option to view details of all charges for all Clients	95	Net Loss	N	Y	N	Y	N	N	N	Y	N	N	Y	Y	Y	N	N	Y	Y	N	N	Y	N	N	N	Y

Select Client/Scrip option to show Clients Scripwise details	96	Shortage Risk Report	Y	Y	N	Y	N	N	N	N	N	N	Y	N	Y	N	N	Y	N	N	Y	N	N	N	N	N
Select Scrips to view the details of all the Scrips for that particular period	97	Client Margin/Exchange Shortfall	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N	Y	N	Y	Y	N	N	N	N
Trade Summary	98	Utilization % of Ledger	Y	Y	N	Y	Y	N	N	Y	N	N	Y	Y	Y	N	N	Y	N	N	Y	Y	N	Y	N	Y
Select the Branch, Client and Exchange from the Data LookUp.	99	Var Margin Blocked	Y	Y	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Select the Period or Settlement	100	Early Payin of Shares	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	N	N	N
Select the Summary or detail to view the Clientwise or Scripwise Subtotal	101	Collateral Entry	Y	Y	N	Y	Y	N	N	Y	Y	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
Click on Export to view in Excel.	102	CTCL Registration	Y	Y	N	Y	Y	N	N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Trade Ledger	103	Collateral Report	Y	Y	N	Y	Y	N	N	Y	Y	N	N	N	N	Y	N	Y	N	N	N	N	N	N	N	N
Trade Ledger shows Funds PayIn/PayOut for one date or Settlement	104	CTCL Terminal List	N	Y	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Enter the Settlement or Date at a time	105	Var Margin Report	N	Y	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N
Select the other options as required	106	Pledged/Unpledged Report	Y	Y	N	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	Y	Y	Y	N	N	N	N
Click on View to view the Report	107	Covered/Uncovered Report	Y	Y	N	Y	N	N	N	Y	N	N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N
Click on Export to view in Excel.	108	NetExposure Report	N	Y	Y	Y	N	N	N	Y	N	N	Y	Y	N	N	N	N	N	N	N	Y	N	N	N	N
P&L Report	109	Premium Payable/Receivable	Y	Y	N	Y	N	N	N	Y	N	N	N	Y	N	N	N	N	N	N	Y	N	Y	N	N	N
Select the Branch and Client from the dropdown boxes.	110	Client Net	Y	N	N	Y	Y	N	N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	Y
Select the Period for which information is required.																										
Click on Preview to view the Report.																										
Click on Close to Exit.																										
STT Annexure																										
Select the Exchange,Branch,Client and Period																										
Put the From and To Contract Note Number																										
Click on preview to view the details																										
STT Report																										
Select the Branch,Client,venue and Specific Period.																										
Select the Form No. 10 DB or Summary to view the Details.																										
Click on Close to Exit.																										
Settlement calendar																										
Select the Exchange																										
Select the Period																										
Click on Export to view the details																										
Daily Closing Rate Report																										
Select the Venue and specific Period to view Closing Rate report.																										
Click on View to view the Closing Rate details.																										
Click on Export to view in Excel.																										
Click on Close to Exit.																										
Corporate Action List																										
Select the Symbol if required for one symbol																										
Select the other options as different date types																										
Select the Period																										
Click on Export to view the Report																										
Account Module																										
Funds PayIn																										
Enter the Client and select the Voucher Date and Cheque Date																										
Select the Receipt bank and Payee Bank																										
Select the Payment Mode as Cheque/DD/Transfer																										
If Cheque is selected, enter the Cheque No and Cheque Date																										
If DD is selected, enter the DD No and DD Date																										
If Transfer is selected, enter the details of NetBanking/RTGS/NEFT																										
Receipt No is required for Subbrokers own Purpose																										
Voucher Ref and Voucher ID will be generated automatically after Save																										
Enter the Amount in different Segment and Save																										
Click on Voucher print to take the Print Out																										
Funds Payout(Cash/FNO)																										
Click on Add and Refresh																										
It will Show all the Clients with Balance																										

To check only credit clients, tick Credit Only
By default, total Balance is displayed as Requested Amount
Requested Amount is editable and can be changed
Tick the Clients for which Request is to be made and Click on Save

Ledger

Enter the Account Heads name or Subbroker code or Client Code
Select the other options as Required
Click on Preview to view the Report.

Bank Book

Select one Bank and Period
Select Voucher Date/Effective/Approved Date
Select the Status and Click on Preview to view the Report

DayBook

Select period or Voucher wise option
For Vouchers, enter the Voucher Number
Select the Branch and Head of Account
Select the Voucher Type or Select All
Select the Status and Click on Refresh to view the Entries
Pending Entries and Approved Entries are shown in Different Colors
Right Click on Any Row to Print the Voucher

Trial Balance

Select Branch and Schedule and Period
Select Summary/details and Model1 and Model 2 as required
Model 2 shows more details than Models 1
Click on Export to view the details

Statements of Accounts

This Report can be taken as All Segment Party Ledger
Select the Period and Voucher/Effective Date
To Check the Sauda Details, tick the box
Click on Preview to see the Details

Fund Flow

Select the Period and other options
Click on Preview to see the detailed Report

Valan Report

Select the Settlement and Exchange
Select either of the options for viewing all accounts details
Click on preview to view the Reports

Funds PayIn Statement

The Report shows the status of Funds PayIn done
Select the Period and Cheque Status and View the Report

Funds Payout Details

Enter the Branch and Payout Bank
Select the Payout Mode and Period and Status
Click on Export to view the Report

Bounced Cheque Report

Select the Period and bank
Click on preview to see the details

Service Tax

Select the Period and either one of the option to see the details
Click on Export to view the Report

Debit Penalty Allocation

Select the Account and Period
Select the Status as Approved/Pending
Click on Preview to view the details

Derivative Module

Trade Summary
Select the Branch, Client and Exchange from the Data LookUp.
Select the Period or Settlement
Select the Summary or detail to view the Clientwise or Scripwise Subtotal
Click on Export to view in Excel.
Client Bill
Enter the Period and Exchange
Click on Preview to see the Client Bill
All Reports/Sauda Summary/Net Position/Turnover/In the Money
Select the Exchange
Select the Option Type as PA(Put American)/CA(Call American)
Select the Instrument Type as Futures/Options
Select the From and To date
Click on Export to view the Reports
Exchange Open Position
Select the Branch or not to view the whole data.
Select Open Position only or Order By Value.
Click on View to view the details.
Contracts Exercise and Assign
Select From Date and To Date and Exercise/Assign/Both.
Select the Branch and Client to filter the Data.
Click on Preview to view the Details and Close to Exit.
Open Position P And L
Enter the Exchange and Period
Click on Fill to view the details
The Report shows Open Positions P And L and Open Contracts
In Open Position P and L,Double Click on any row to see the details
Open Contracts shows which are opened
Valan Summary
Select the Period
Select the Exchange
Click on Export to view the Report
Settlement Statement
Select the Subbroker
Select the Type as MTM/Premium/Exercise&Assign
Select the Trade Date and Clientwise or Securitywise
Click on View to see the details
Confirmation Note
Select the Branch, Client and Exchange from the Data LookUp.
Select the Period or Settlement
Click on View or Preview to view the Report
Click on Export to view in Excel.
Contract Note
Select the Branch, Client and Exchange from the Data LookUp.
Select the Period for which Contract Note is to be Generated.
Click on Print to Generate the Contract Note.
Select the Printer and click on Print
Trade Details/Levies Report
Select the Branch, Client and Exchange from the Data LookUp.
Select either the settlement or Period
Select Trade details to view the details of Trades
Select other options to view different details
Custody Module
MBen PayOut Request
Select the Subbroker

Select the DP Type and Date and Click on View
Put the Entries in requested column and tick those rows and Click on save
Settlement Position
Shows One Settlements all Clients or One Clients all settlements with Position Type
Enter the Settlement No for BSE and NSE to view all the clients
Select the Position Type as required and Click on Refresh
Select the Grouping to view the Clientwise or Securitywise Report
Enter One Client to view all the settlements details with their position type
Double Click on any Row to see the detailed transactions
For One Client select the Period and Click on Refresh
The Left Pane shows Security
After Click on Security, details are shown on Right Pane
Transaction Statement
Select the Period and enter other details
Enter the DPID and DP ClientID
Click on Preview and Export to see the details
PayIn/payIn Shortage
Enter the Settlement and Branch
Select the options as Securitywise/Clientwise
Click on Export to see the Report
Branch PayIn
Select the Branch
Enter the Settlement and Click on refresh
The Left Pane shows Security
After Click on Security, details are shown on Right Pane
Double Click on any Row to see the detailed transactions
Outward Register
Selet the Subbrokerand Payout Type
Select the Date and Click on View
According to payout type selected,Reports are displayed
Branch PayOut
Select the Branch
Enter the Settlement and Click on refresh
The Left Pane shows Security
After Click on Security, details are shown on Right Pane
Double Click on any Row to see the detailed transactions
Payout of Security
Enter the Payout date and Payout Type as Pool AC or BEN AC
Click on Refresh to see the Reports
This Report shows the Payout of Security as Per Logic decided by ISS
Intersettlement Shifting
Select the To Settlement
Click on Export to see the Report
Dividend Report
Enter the Settlement and Client
Select the Pool/MarginBen/Ben account.
Click on View to view the Details.
Click on Close to Exit.
Bonus Report
Enter the Settlement and Client
Select the different Filters as Client/Scrip wise and others.
Select the Pool/MarginBen/Ben account.
Click on View to view the Details.
Click on Close to Exit.

DP Charge Details
Select the Period and Transaction type as Required
Select the Summary/Detail Report as Required
Select the Exchange and Click on Export to see the details
Share Movement History
Select Branch, Client as they are Mandatory Fields.
For Filtering, select the From and To Settlements.
Select the Sort order and Period of Date.
Click on View to view the Details.
Click Close to Exit.
PayIn/Early PayIn Report
Enter the Exchange and Branch and the Settlement
Click on View to see the Details
Click on Export to see the details in Excel
PayOut/Payout Shortage
Enter the Settlement and Branch
Select the options as Securitywise/Clientwise
Click on Export to see the Report
Auction Reports
Select the Settlements and Market type as Auction
Click on View or Preview to view the Report
Click on Export to see the Report in Excel
Ben Holding Report
Select the As on Date and DP type
Select the Group By and Order By options to filterize the Report
Click on View to see the Details
Client Pending with Previous Buy Position
Enter the PayIn Settlement
Enter the Previous Settlement Range
Select the Report order as required
Click on View to see the Details
Pledged/Unpledged Report
Select the Branch, Client and Exchange
Select the Maturity Date and Status to filter the data.
Click on View to view the details.
Click on Close to Exit.
CRD Module
Client Registration
Press Add button to enter new Client.
Enter the Unique Application No.
Enter all the Mandatory fields.
Enter the unique 8 digit Trade Code and Check its Availability.
Enter the Valid PAN Number
Enter client name
Enter the valid Telephone no and EMailID.
Enter all the Address details and occupation details
Upto 5 Banks and DPs can be added
Enter the Complete Bank Details and DP details
Select the venue and preferences and Enroll Date
Select default DPID.
If the client is introduced by Introducer, then Enter its details
Enter the Brokerage Slab both in CASH and F&O market
Enter the Family Account Description
Enter all the necessary clients document and upload it.
Click on Save and Exit.

Client Edit
Select the Client to Edit
Edit the details as per requirement
Save the Module
Client Group
Select the Client and Click on Pool to see the Clients in that Client Group
In next Grid,select the clients and Add them
To Remove the Client,Click on Delete
Click on Save to Save the Client
Welcome Letter To Clients
Enter the name of Client and/or Branch
Enter the From and To Enroll Date
Enter if any new text into Welcome Letter
Click on Save to save the Welcome Letter for that Particular Client/Branch
Click on Preview to View it and Close it
Reset Customer Care Password
This Module provide the Customer Care Password Reset option for Clients
Enter the Client and Click on Reset Password to generate new password
User can click on Default Message to get the default message
Click on preview to view the message attached with Password
Click on Send Mail to Send the Password through Mail
SecurityWise Brokerage
Click on Add and then + to add the securitywise Brokerage
Select the various columns and Click on Save
Click on delete to Remove the entries
Click on Modify to change the entries
Client Slab Change Request
Select Client from Existing Data
Select Brokerage Slab for CASH Market or F&O
Press Add Button to Make any Changes in Brokerage Slab
Select new Brokerage Slab from existing defined Slabs.
Select Save and Exit.
Client Registration Acceptance
Select the Status of Clients and Click on View
Select the Client and change its status
Click on save to save the entries
Client Modification Acceptance
Select the Status of Clients and Click on View
Select the Client and change its status
Click on save to save the entries
Client View
Click on Client View link to see the details of that particular Client
Client List
Select the Status and type from drop down box
Select the From Date and To Date for POA and Enroll Date
Click on Export to get the List in Excel sheet
Click on Close to Exit
Client Brokerage Details
Select the Client and Exchange(Optional)
Click on Export to get the List in Excel sheet
This Report shows all the Brokerage tagged to a Client
Brokerage Slab Listing
It shows Brokerage Tables
Select either of CM(Capital Market),Futures, Options to view the Brokerage tables
Select Greater than or Less than Flag

Fill the box after the Percent and Minimum to view the Brokerage Tables
Click on Export to get the List in Excel sheet
Brokerage Slab Change Details
Enter the Subbroker code and Period
Click on View to view the details
This Module shows present Cash/FNO Brokerage Slab as well as previous ones
It also shows Requested User and Approved User and respective dates also
Stock Register
Select the Item Code and Region
Click on View
The ItemCode are inserted as Master Enteries in Sparc HO
Client Address
Select the Subbroker and Status of Clients
Click on View to view the Clients
Click on Preview to Generate the Report
Click on Print to get the Printed Format
Brokerage Slab Change Approval
Select Client from Existing Data
Click on View to view the details of Request of Brokerage Slab Change
From the first window, Requested User and Requested Date can be view.
In the second window, make changes in Brokerage Slab in Cash/F&O
Click on Save to save the changes made in Brokerage Slab and Exit.
Surveillance
Terminal Margin
Select the Subbroker and Click on View
Update the margin for different terminals as required
Check that Sum of allocated Margins should not differ with Total Available Margin
Click on Save to Save the Margin Entries
Canned Fund Summary
Select the Subbroker and date
Select the other option
Click on View to view the details
Early PayIn of Funds
Select the Subbroker and Transaction Date
Select the required Exchange
Click on View to see the details
Client Net with Ledger Balance
Select the As on Date and Debit/credit/All
Select the different options by unticking the Ledger Balance
Click on View to view the Report
Gross Exposure
Select the Subbroker and Exchange
Select the Branch or Terminal option
Click on View
Net Loss Statement
Select the Subbroker and other details as required
Put the figures for Loss Above
Click on View to see the details
Span Margin Details
Enter the Exchange
Enter the symbol if required
Click on View to see the Details
Holding Statement
Select the Subbroker and other details as required
To Select an Exchange,Remove the tick from All

Select the Exchange and Different options as required and Click on View

Tentative Shortage

Select the Subbroker and other details as required
Select the Exchange and put the Settlement as per Exchange
Click on View to see the details

Selling of Securities

Select the Subbroker and other details as required
Select the Exchange and Period as per requirement
Click on View to see the details

BG & FD Report

Select the Subbroker and other details as required
Select the Exchange and Period as per requirement
Select the Instrument and its status
Click on View to see the details

Global Risk

Select the Subbroker and other details as required
Select the Before/After option for Var calculation
Click on View to see the details

Subbroker Risk

Select the Subbroker and other details as required
Click on View to see the details
Negative percentage shows the risk factor for that subbroker

Net Loss

Select the Subbroker and other details as required
Click on View to see the details
Evaluation of Security as per 25%,100% or as per Var for that Security

Shortage Risk Report

Select the Subbroker and other details as required
Click on View to see the details
T+1/T+2 shows the Shortage risk for that Subbroker related to that Exchange

Client Margin/Exchange Shortfall

Select the Subbroker and other details as required
Click on View to see the details
50:50 shows report as per risk factor taken

Utilization % of Ledger

Select the Subbroker and other details as required
Select the Transaction Date
Click on View to see the details
It shows as how much is utilized by Subbroker of its available Margins

Var Margin Blocked

Select the Subbroker and other details as required
Select the Transaction Date as required and Exchange
Click on View to see the details

Early Payin of Shares

Select the Subbroker and other details as required
Select the Transaction Date as required and Exchange
Click on View to see the details

Collateral Entry

Enter the Branch, Client and Exchange
Select Bank and Instrument type as FD(Fixed Deposit) and BG(Bank Guarantee)
Enter the Amount and issue date and Maturity date
Save the Entry

CTCL Registration

Select the Subbroker
Enter the other details which are Mandatory

Enter Neat UserID,Terminal ID,CTCL Login ID,Purpose of CTCl
Enter the other details related to NCFM/BCDE Test
Save the Entry and take the printout of the filled in details
Collateral Report
Select the Branch and Instrument.
Select the options as Maturity/Issue Date and Status to filter the data.
Click on View to view the details.
Click on Close to Exit.
CTCL Terminal List
Untick the tickbox to see all the CTCL Terminal List
If ticked, enter the no of days to see the CTCL Expiry List
Click on Export to view the Report
Var Margin Report
Enter the Exchange to view the Report
Select the Period to see the Report
Covered/Uncovered
Select Date and Branch
Click to select Active Clients Only.
Select NetDebit,Total Debit and Uncovered Debit greater than value.
Click on View to view the details.
Net Exposure Report
Select Branch, Client and Status as ALL/ONLINE/OFFLINE
Click on View to view the Details.
Click on Export to view in Excel
Admin
SBRegion
Press Add button to add a new Region
Through this module, Subbrokers can define their own Region or Locations
These Regions/Locations are places where Subbrokers Branches are located
Add Region Code and Region description
Add other description if provided and Save
SBBranch
Select the Subbroker Branch
Click on Add to create a new Branch
Enter the Mandatory Fields
Click on Save
User
Click on ADD to create a G**roup or User**
Enter the User Id and Password and select other details
Select the Region Access and Branch Access Permissions
Tick on Terminate User to terminate the User
User Group
Click on Add to add a new User
Click on save to Save the Group
Click on Search to view the Existing User Group
Modules Access Rights
Select the User Group and Click on View
Tagged the Modules as per required to be provided the Rights
Click on Save
Change Password
Enter LoginID
Enter the Old Password
Enter the new Password and Confirm it
Press Save and Close to Exit.
Bulk Emails

Select the Branch and Date
Select the Email or SMS as option
Tick on Mail to All to send Mail to All Clients
Click on View to see the details
Select the TradeCode and Enter the Messages and attachments
Click on Send to send the Report
Upload Circular
Select the Main Type and SubType
Select the File and Upload it
Put the other details and Click on Upload
Uploaded Files can be viewed by click on View Upload Files
Edit Menu Provides the Link to Modify the Main Type and Sub Type
Client Net
Select Client from the Existing Data.
Select the Exchange from the existing data.(Optional)
Select the Period for which information is required.
Select the Voucher date/Effective Date to get the data.
The Boxes on right side shows Clients address,Phone,Bank and DP Details
The First Tab Shows Ledger details of different Exchanges
Blue Color shows Credits and Red Color shows Debits
Pending Settlements are shown as Red Color
The Uncleared Tab Shows details of Uncleared Cheques and Settlements
The Holding tab shows Ben Account and DP holdings
NSEFO tab shows details of all Contracts, Buy/Sell/Net Quantity,Avg Rate,P&L.
Effective Ledger is shown as per Effective date
Net Balance is the Amount after considering all the charges
Click on Close to Exit.
Note: Only Mandatory Fields are required to be filled. Selection of Other options helps in Filtering of Data.
Client View
Click on Client View link to see the details of that particular Client
Service & Support
Home
Administration-Escalation Level
Click on View to see the already defined Escalation level
To Enter new Details,Put the No of Levels and Submit
For Level Defining,put the Level Descriptiona and Submit
Set is used for Setting new defining levels
Administration-Master
Select the Master Type
Enter the Department Name and Click on Add
To Edit any of the details,Click on Edit
Administration-User Configuration
Click on Add to add a new User
Enter the details and Click on Save
To check the already entered details,click on Get Details
Enter the details for Receiving Mails
Administration-Level Wise Users
This is a Report providing for Users details Level wise
Registration-Complaints
Select the Ticket Number
Select the Issue Type,Severity and Department
Put the Remarks and Click on Submit
Status Report
Select the Ticket Number

Select the Issue Type,Severity and Department
Ticket Status Log
Select the Period and other required details
Click on Submit to view the Report

Form No.10 DB	Summary Only/Total	Closing Rate	Traded Qty	File Generation	NSDL/CDSL/BEN/MBEN QTY	ISIN	Status(Pending/Released	Pledged/ Unpledged	FO Debit	Pending JournalCash/FO	Unaccounted Details/Uncleared	Debit Penalty	DIS Charges	Intraday Details	NSEFO/NSECD Details	Cash/FO IBP	Contract	Payable /Receivable	Option Type	Strike Price	Exercise/Assign	Purchase/Sales	Status(Accepted/Pending/Rejected	PAN	A/C Open Date	SubBroker	Password	Living Status	POA Details	Brokerage Details	Volume of Trades	PayIn/Out	Settlement Types	EMAIL Sending
N	Y	N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	Y	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	N	Y
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	Y	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	N	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	Y	Y	N	N	N	N	N	N	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	Y	N	Y	N	Y	N	N	N	N	N	N	Y	Y
N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	N	N	Y	Y	N	Y	Y
N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	N	N	Y	Y	N	Y	Y
N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	Y	N	N	N	Y	Y	N	Y	Y
N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	Y	N	N	N	Y	Y	N	N	Y
N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	Y	Y
N	N	N	Y	N	N	N	N	N	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	Y	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	Y	Y	Y	N	Y
N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	Y	N	N	N	Y	Y	N	N	Y
N	N	N	N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	N	N	N	N	N	N	Y
Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	Y
N	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y
N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y
N	Y	N	N	N	N	N	N	N	Y	Y	Y	N	Y	Y	Y	N	Y	Y	N	N	N	N	Y	N	N	Y	N	N	N	Y	Y	Y	Y	Y
N	Y	N	N	N	N	N	Y	N	N	Y	Y	N	N	N	Y	N	Y	Y	N	N	N	N	Y	N	N	Y	N	N	N	N	N	Y	Y	Y
N	Y	N	N	N	N	N	Y	N	Y	Y	Y	N	Y	Y	Y	N	Y	Y	N	N	N	N	Y	N	N	Y	N	N	N	N	N	Y	Y	Y
N	Y	N	N	N	N	N	N	N	N	N	N	N	Y	Y	Y	N	Y	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	Y	N
N	Y	N	Y	N	N	N	N	N	Y	N	N	N	N	N	Y	N	Y	Y	N	N	N	Y	N	Y	N	Y	N	N	N	Y	Y	N	Y	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	Y	Y	Y	N	N	Y	N	N	N	N	Y	N	N	Y	N	N	N	Y	Y	Y	Y	N
N	Y	N	N	N	N	N	N	N	Y	N	N	N	Y	Y	Y	N	N	Y	N	N	N	N	Y	N	N	Y	N	N	N	Y	Y	Y	Y	N
N	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	N	Y
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	N	Y
N	Y	N	N	N	N	N	Y	N	N	Y	Y	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	N	Y
N	Y	N	N	N	N	N	Y	N	N	Y	Y	N	N	N	N	N	N	Y	N	N	N	N	Y	N	N	Y	N	N	N	N	N	Y	N	Y
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	N	N	N	N	N	Y	N	N	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	Y	Y	N	N	Y	N	N	N	N	N	N	N	Y	Y	Y	Y	N	Y	Y	N	Y	N	N	N	Y	N	N	N	Y	Y	N	N	N

N	N	N	Y	Y	N	N	N	N	Y	N	N	N	N	N	Y	N	Y	N	N	N	N	Y	N	Y	N	Y	N	N	N	Y	Y	N	Y	N
N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	Y	Y	Y	N	Y	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N
N	Y	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	Y	N	Y	N	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	Y	Y	Y	Y	Y	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	Y	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	Y	Y	Y	N	Y	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	Y	Y	N	Y	N	N	Y	N	N	N	Y	N	N	N	N	N	N	Y	N
N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	Y	Y	N	Y	N	N	N	N	Y	N	Y	N	Y	N	N	N	N	N	N	Y	N
N	N	N	Y	Y	N	N	N	N	Y	N	N	N	N	Y	Y	N	Y	N	N	N	N	Y	N	Y	N	Y	N	N	N	Y	Y	N	Y	N
N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	Y	N
N	N	N	Y	N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	Y	N	N	Y	Y	Y
N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	Y	N
N	N	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	Y	N	N	Y	Y	Y
N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N	N	Y	N
N	Y	Y	Y	N	Y	Y	N	N	N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N
N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	Y	N
N	N	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	Y	N	Y	Y	Y	Y
N	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N
N	N	N	N	N	Y	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	N	N	N	N	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N
N	N	N	Y	N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	Y	N	Y	Y	Y	Y
N	N	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	Y	N	N	Y	Y	Y
N	N	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	Y	N	N	Y	Y	Y
N	N	Y	Y	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	Y	N	Y	Y
N	N	Y	Y	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	Y	Y	N	Y	Y
N	N	N	Y	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N	N	Y	Y
N	N	N	Y	N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N	N	Y	Y
N	N	N	N	N	N	Y	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	N	N	Y	N	N	N	N	N	N	Y	Y
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	Y	Y	N	Y	Y	N	N	N	N	Y
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	N	Y	N	Y	Y	N	N	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	Y	N	N	N	N	N	N	Y
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	N	Y	N	N	N	N	N	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
N	Y	N	N	N	N	N	N	N	Y	N	N	N	Y	N	Y	N	N	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	Y	N
N	Y	N	N	N	N	N	N	N	Y	Y	Y	Y	Y	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	Y	N	N	N
N	Y	N	N	N	Y	N	N	Y	Y	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	Y	Y	Y	N	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	Y	N	N	N	N	N
N	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	Y	N	Y	N	Y	Y	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	N	Y	N	Y	Y	N	N	N	Y	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	N	N
N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	N	N	Y	N
N	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Y	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	N	Y	N	Y	Y	N	N	N	Y	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	Y	N	N	N
N	Y	N	N	N	Y	N	N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	Y	N	N	N	N	Y	N	N	N

N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	Y	N	N	N
N	Y	N	Y	N	Y	Y	N	N	N	Y	Y	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	Y	N	N
N	Y	N	N	N	N	N	N	N	Y	Y	Y	N	N	N	N	Y	N	Y	N	N	N	Y	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	Y	N	N	N
N	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	Y
N	N	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	Y	N	N	N	N	N	N	N	Y
N	N	N	N	N	N	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	Y
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	Y
N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	N	N	N	N	Y	Y	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N
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N	N	N	Y	N	Y	Y	N	N	N	N	N	N	N	Y	Y	N	Y	N	Y	N	N	N	N	Y	N	Y	N	Y	Y	Y	Y	N	N	N

FAQs of SPARC - IM

What is iSPARC?

internet **S**ettlement **P**rocess **A**nd **R**isk **C**ontrol (iSPARC) a new ISE Back Office Software (IBOS) of ISS. It is a Web Based Back Office Clearing and Settlement System.

What are the technology used in iSPARC?

iSPARC is an intelligent solution for the back office operations related needs of ISS. It is an integrated software solution which provides capability to handle multiple markets & market segments in a single user interface. It is developed using the Thin Client technology, using ASP.net as browser front end and MS-SQL as RDBMS.

It has a built in scalability spanning a single client installation to multi-client, multi-branch operations, connected through Intranet for client services.

iSPARC also provides an interface to Depository related operations of ISE.

Who are the people using iSPARC?

It is being used the Exchanges, Capital & Commodities Markets, Financial services globally and IT Consulting solutions to companies worldwide. Software Products include products, solutions and services for the Financial and Capital Markets addressing Stock and Commodities, Exchanges, Intermediary Brokerage Houses, Merchant, Banking Operations and Financial Services in India and Overseas.

What are the Salient Features of iSPARC?

A. Dynamic

ISPARC presents you with a highly adaptable back office environment for ISS. ISPARC can handle Multiple Trading Segments, Exchanges and Users. Enrichment of ISPARC is a continuous process and emergence of new products, segments & systems throws open an exciting world of opportunities.

B. State of the Art

Browser-based solution which allows you to have your back office anywhere on the browser. ISPARC is calibrated to deliver optimum value for broker offices as well as clients. ISPARC delivers outstanding performance, scalability and reliability.

C. User-Friendly

The user interface has been tailored to provide ease of navigation and maximum user comfort. ISPARC provides logical grouping of core back office functions so that workflow is optimized and back office processing becomes a smooth experience.

D. Zero Obsolescence Guaranteed

The strength of ISPARC lies in anticipating future development and making provisions for them in present. ISPARC is geared up for continuous changing procedures and rules, which evolve for the existing instruments as well as for new instruments being introduced.

What are the Tips for checking iSPARC?

1. STT: Kindly compare with Exchange. Comparison Report will be available in Trading -> Reports -> STT Reconciliation

2. STAMP DUTY: Calculated based on Clients Domicile State. CRD -> Reports -> Stamp Duty

Please Note:
1. iSPARC will not be available between 8.30 pm to 12.00 midnight every day for operational work.

2. Till the system goes live any data entered by sub-brokers in new back office software during the day for testing purposes will be deleted and the incremental data from CLASS will be updated in new back office software at 10.00 pm every day.

3. Sub-brokers can also check the Pay-in, Pay-out and Trade Process in iSPARC on daily basis which are processed in new back office.

4. While comparing data accuracy with CLASS software you may find some small mismatch (rounding off difference) in paisas under the following heads.

- Brokerage, Service Tax.
- Transaction charges.
- SEBI fees.
- STT and Stamp duty.

5. There may be minor differences in trial balance which will soon be rectified.

6. Surveillance Module is under testing for the corporate office which will be made available shortly

What are the various other features of SPARC-IM?

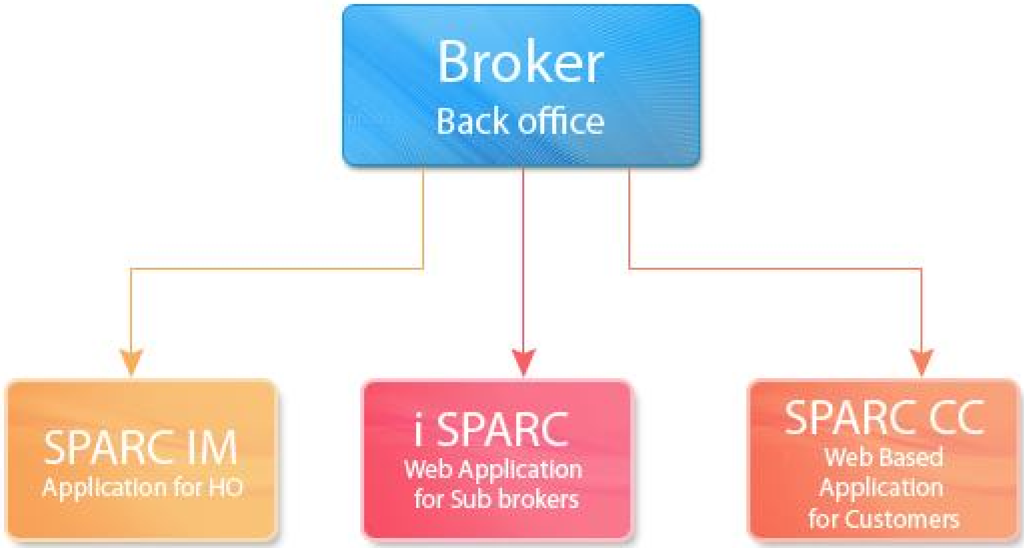
SPARC, Settlement processing and risk control is a back office management solution for retail brokers. The solution addresses front to back office operations and facilitates effective client communication. SPARC integrates the requirements of a broker in equities, equity derivatives, Commodity derivatives and Currency derivatives segments, allowing the broker to focus on the core business aspects.

The Back Office system is introduced to you in three different flavors, **SPARC IM** (Thick), **iSPARC** and **SPARC CC.**

SPARC IM: The thick application for Head Office Users is SPARC IM. Access to the module is restricted based on the preset user rights. All the transactions and process will be carried out over here.

iSPARC: The web application for sub brokers. The module restricts the access to sub brokers, for defining the entries and report viewing.

SPARC CC: The web based application for the customers. Customers can access the application and can view various reports.



Note:

Each individual module is access protected allowing only the right users to respective modules. On user authentication, the profile associated with the user alone gets loaded into the system thus allowing maximum user workspace.

User specified sort order also allows for dynamic reporting. The drill down feature incorporated in the reports allows the user to go to the specific level of explanation for supportive data.

What are the Key Features of SPARC-IM

- Centralization of information for better control
- Global Client Identification
- Security wise brokerage settings
- Brokerage Rebate
- Two Fund Payout

NSE & FO Separate

- Auto Pledging

Pledge Reconciliation, Automatic Transfer to Pledge Accounts and Unpledge Transaction

- Built in Power Tools for Business Analysis
- Fast and Efficient Clearing Mechanism
- The system is tightly integrated with the business workflow
- Global client identification for all markets
- Set exchange wise, location wise, client wise, and security wise brokerage schemes
- Daily Trade posting
- Daily MTM profit and loss accounting
- Risk management

- Extensive reports like portfolio summary, daily brokerage details and so on.
- E-mail transaction confirmation reports and other relevant reports in pdf format
- Birthday mail will be send to all clients automatically
- Birthday Brokerage Rebate Settings
- SMS Facility to clients and Sub Brokers
- Integrated accounting features
- Welcome letter
- Set user rights and privileges
- customer Care Portal
- Online Help