



## ISS Enterprise Limited

(Erstwhile : ISE Securities & Services Ltd.)

**Corporate Office :** 6th Floor, 601, "Dakshna" Next to Raigad Bhavan, Sector - 11, CBD - Belapur, Navi Mumbai - 400 614, Tel : (022) 61829500, Fax : (022) 61829547, e-mail : helpdesk@iseindia.com  
Internet URL : <http://www.iseindia.com>, **Investor grievance e-mail :** invgrieviss@iseindia.com  
CIN : U67100MH2000PLC123707



Ref.: 21-22/ISS/SURV/ 7651/SS

Apr 05, 2021

To:

**All Registered Intermediaries of ISS**

Dear Sir,

**Sub.: Mandatory fields in Unique Client Code (UCC) information provided to Exchange**

This is to inform that Exchange in consultation with SEBI and other Exchanges that the following details of clients shall be made mandatory for new as well as existing clients.

1. Mobile number
2. Email
3. PAN
4. Category,
5. Complete address
6. Pin code
7. Income details

ISS will provide to A.P's the existing system data of their clients. All A.P's are required to cross verify their client data for all their existing clients as well for new clients at their end to ensure that all the above mandatory and important details are provided to CRD Department so that they can update on the UCC system of the Exchange latest by April 30, 2021.

Exchange has further stated that in case of failure to meet the above guidelines, the status of such client codes shall be marked as "Closed" in UCC system of the Exchange. Any trades executed in client codes not having the mandatory details will attract penal action.

Members are hence requested to ensure compliance to the above requirement on immediate basis to avoid any difficulties / action in future

Kindly provide the data last by April 30, 2021. ISS will disable the clients w.e.f 01-May-2021 and will be activated only on request basis

In case of any further queries, members are requested to contact Surveillance /Customer care /CRD department / Mayuri or refer Nse circular no:47869 dated April 01, 2021 .

Thanking you,

**Yours faithfully**

**For ISS Enterprise Ltd.**

(Erstwhile: ISE Securities & Services Limited)

**Sandhya S**

Risk & Surveillance

**ISS Enterprise Limited**

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**SEBI Registration No. INZ000157633**

**Registered Office :** International Infotech Park, Tower No. 7, 5th Floor, Sector - 30, Vashi, Navi Mumbai - 400 703. Tel. : (022) 2781 2056