

**Application Form for Opening a Demat Account  
(For Individuals )**

<p><b>ISE SECURITIES &amp; SERVICES LIMITED</b>  <b>Corporate Office : 6<sup>th</sup> Floor, 601, "Dakshna" Next to Raigad Bhavan, Sector-11, CBD-Belapur,  Navi Mumbai – 400614, Tel : (022) 61829500, Fax : (022) 61829503</b>  <b>Registered Office : International Infotech Park, Tower No. 7, 5<sup>th</sup> Floor, Sector-30, Vashi,  Navi Mumbai-400 703, Tel : (022) 67941100</b>  <b>Investor grievance e-mail : invgrieviss@iseindia.com</b></p>
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(To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.										
DP ID		Client ID								

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:-

**Holders Details**

Sole / First Holder's Name		PAN												
Second Holder's Name		PAN												
Third Holder's Name		PAN												

<b>Name *</b>	_____
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

**Type of Account (Please tick whichever is applicable)**

Status	Sub – Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)_____	

**Details of Guardian (in case the account holder is minor)**

Guardian's Name		PAN	
Relationship with the applicant			

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be `Yes`)	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID_____	<input type="checkbox"/> Yes <input type="checkbox"/> No

Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be `Yes`) [ECS is mandatory for locations notified by SEBI from time to time ]	<input type="checkbox"/> Yes <input type="checkbox"/> No
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DP Charges	I / We authorize ISE Securities and Services Limited to debit DP Charges (including Annual Maintenance Charges) to my / our Trading Account.
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**Bank Details [Dividend Bank Details]**

Bank Code (9 digit MICR code)																			
IFS Code (11 character)																			
Account number																			
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____																		
Bank Name																			
Branch Name																			
Bank Branch Address																			
City			State			Country			PIN code										

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
  - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

<b>SMS Alert Facility</b> Refer to Terms & Conditions given as <b>Annexure - 2.4</b>	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney ( POA)]  (if POA is not granted & you do not wish to avail of this facility, cancel this option).	
<i>Easi</i>	To register for <b>easi</b> , please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . <b>Easi</b> allows a BO to view his ISIN balances, transactions and value of the portfolio online.	

**Nomination Details**

Nomination Registration No.	Dated

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

- I/We **do not wish to nominate any one for this demat account.**
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my / our death.

Full Name of the Nominee																			
Address																			
City			State			Country			PIN code										
Telephone No.			Fax No.																
E-mail ID																			
Relationship with BO (If any)																			
Date of birth (mandatory If nominee is a minor)																			

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian**:

Full name of Guardian of Nominee							
Address							
City		State					
Country		PIN					
Telephone No.		Fax No.					
E-mail ID							
Relationship of Guardian with Nominee							

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note : Two witnesses shall attest signature(s) / thumb impression(s)

Details of the Witness		
	First Witness	Second Witness
Name of witness		
Address of witness		
Signature of witness		

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

***(Signatures should be preferably in black ink).***

**Acknowledgement Receipt**

**Application No.:**

**Date:**

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

**Depository Participant Seal and Signature**

===== (Please Tear Here) =====

**Agreement Between A Participant And A Person Seeking  
To Open A Beneficial Owner's Account**

This Agreement made and entered into at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, between, ISE Securities & Services Ltd.(description of the legal entity) having his/its office/ **Registered Office** at International Infotech Park, Tower No. 7, 5<sup>th</sup> floor, Sector -30, Vashi, Navi Mumbai -400 703 and **Corporate Office** at 6<sup>th</sup> Floor, 601, Dakshna, Next to Raigad Bhavan, Sector -11, CBD-Belapur, Navi Mumbai - 400614, hereinafter called "the Participant" of the One Part; AND \_\_\_\_\_, (description of the legal entity) having his/ its office/ registered office/address at \_\_\_\_\_, hereinafter called "the Beneficial Owner" of the Other Part.



WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow:

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:



**General Clause**

- 1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

**Fees, Charges and deposits**

- 2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid not exceeding 18% per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.



**Statement of Account**

- 3.1 The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter.

X----- X----- X-----  
(Sole/First Holder) (Second Holder) (Third Holder)

The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time.] The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

- 3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of accounts, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.
- 3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

**Beneficial Owner shall intimate change of particulars**

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

**Depository not liable for claims against Beneficial Owner**

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/ or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

**Authorised Representative**

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

**Termination**

7. The parties may at any time terminate this agreement, provided that a notice of not less than thirty days is given in the manner and on the terms and conditions and procedure set out in the Bye Laws and the Operating Instructions. In the event of termination by either party, the Participant shall deal with the securities lying in the account of a Beneficial Owner in accordance with the instructions of such Beneficial Owner.

**Stamp Duty**

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

X-----  
(Sole/First Holder)

X-----  
(Second Holder)

X-----  
(Third Holder)

**Force Majeure**

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

**Service of Notice**

10. Any notice or communication required to be given under this agreement <sup>5</sup>[shall be in writing, and shall be legally effective only when it is delivered to the addressee at the last known address in the manner prescribed in the operating instructions.

**Arbitration**

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

**Jurisdiction**

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in **MUMBAI**

**Governing Law**

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/ seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

Signed and delivered by

-----  
(Witness)

\_\_\_\_\_  
(For and behalf of  
ISE Securities & Services Ltd.)

Name -----  
Address -----

Signed and delivered by

-----

x-----  
First Holder (For and behalf of the BO)

x-----

-----  
(Witness )

Second Holder

x-----  
Third Holder

Name -----  
Address -----



## **ISE SECURITIES & SERVICES LIMITED**

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Navi Mumbai – 400614, Tel : (022) 61829500, Fax : (022) 61829503  
Registered Office : International Infotech Park, Tower No. 7, 5<sup>th</sup> Floor, Sector-30, Vashi,  
Navi Mumbai-400 703, Tel : (022) 67941100  
Investor grievance e-mail : invgrieviss@iseindia.com**

### **Rate Structure of ISS-DP for Regular Demat Account**

Depository Services Offered by ISS DP	Collection Center Clients (CC) / Walk in Clients.	
Account opening charge	Only Stamp Duty Charges Payable	
Annual Maintenance charges Individual Account	Rs. 300/-	
Corporate Account	Rs. 1000/- (Rs. 500/- Payable to CDSL as their Charges)	
Custody Charges	Nil	
KYC Registration Agency(KRA) a) Upload of KYC Data b) Download of KYC	Rs. 45/- Rs. 45/-	
Dematerialisation	Rs.6/- Per Certificate + Courier Charges of Rs.30/-	
Demat rejection	Rs.20/- Per DRF + Courier Charges of Rs. 30/-	
Rematerialisation	Rs.15/- Per Every 100 Shares or part thereof Or Rs.15/- Per Certificate Whichever is higher	
Transaction (Debit)	Rs.15/- for CC Clients	Rs. 18/- for Walk in Clients
Transaction (Credit)	Nil	
Failed Transaction	Nil	
Pledge Creation	Rs.24/- Per Transaction	
Pledge Creation Confirmation	Rs.24/- Per Transaction	
Pledge Closure	Rs.24/- Per Transaction	
Pledge Closure Confirmation	Rs.24/- Per Transaction	
Pledge Invocation	Rs.50/- Per Transaction	

#### **Notes:**

- At the time of Opening Regular Demat Account, Annual Maintenance Charges (AMC) will be levied upfront on monthly pro-rata basis for the first financial year. Thereafter AMC for the next financial year shall be levied upfront in the month of April in each financial year. In the event of closure of the demat account or shifting the demat account from one DP to another, the AMC collected upfront on annual basis shall be refunded for the balance of the quarters.
- For regular account Adhoc/Non –periodic statement request: Rs. 25/- per request up to 10 pages. Every additional 5 pages or a portion thereof will be charged at Rs. 5/- plus courier charges.
- Any Modification in BO account / Communication to BO, a minimum charges of Rs. 15/- or actual courier / postal charges whichever is higher will be collected.
- BO has to at all time maintain Rs. 500 credit balance in the demat account for availing Electronic access to securities Information and Execution of Secured Transactions (easiest) facility.
- All Charges will be collected upfront. BO are requested to maintain sufficient credit balance in demat account in order to avoid non execution of delivery instruction slip(s) (DIS) / any other instruction (s)
- Actual courier / postal charges will be collected for any communication sent to Non Resident Indian (NRI) BO.
- Any service not covered above will be charged separately.
- ISS reserves the right to change the rates from time to time by giving one month notice.
- Service Tax will be charged in addition to the above mentioned rates.

I/ We agree to the above terms and conditions.

1<sup>st</sup> Holder .

2<sup>nd</sup> Holder .

3<sup>rd</sup> Holder .



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Investor grievance e-mail : invgrieviss@iseindia.com

### **Rate Structure of ISS-DP for Basic Services Demat Account (BSDA)**

Depository Services Offered by ISS DP	Collection Centers Clients (CC) / Walk in Clients.
Account opening charge	Only Stamp Duty Charges Payable
Annual Maintenance charges Individual Account	No AMC up to holding value Rs. 2,00,000 If the value of holding exceeds Rs. 2,00,000 at any date, AMC and charges applicable to regular accounts, applicable from that date.
Custody Charges	Nil
KYC Registration Agency(KRA) c) Upload of KYC Data d) Download of KYC	Rs. 45/- Rs. 45/-
Dematerialisation	Rs.6/- per Certificate + Courier Charges of Rs.30/-
Demat rejection	Rs.20/- Per DRF + Courier Charges of Rs. 30/-
Rematerialisation	Rs.15/- Per Every 100 Shares or part thereof Or Rs.15/- Per Certificate Whichever is higher
Transaction (Debit)	Rs. 75/-
Transaction (Credit)	Nil
Failed Transaction	Nil
Pledge Creation	Rs.24/- Per Transaction
Pledge Creation Confirmation	Rs.24/- Per Transaction
Pledge Closure	Rs.24/- Per Transaction
Pledge Closure Confirmation	Rs.24/- Per Transaction
Pledge Invocation	Rs.50/- Per Transaction

- 1) **Eligibility criteria :-**
  - BSDA applicable to Individual account(s) only.
  - The account holder shall have only one demat account as first holder across all depositories.
  - Value of securities shall not exceed Rs. 200000/- at any point of time.
  - The account holder shall mandatorily register for SMS alerts.
- 2) **Value of Holding** Shall be determined on the basis of daily closing price or NAV of securities or units of mutual funds. If the value of holding in such BSDA exceeds the prescribed criteria on any date, ISS DP would levy charges as applicable to regular demat account.
- 3) **Holding –cum-Transaction Statements :-** Demat account holder has to furnish his/ her email\_id to receive electronic statements from ISS DP/ for availing **easi** facility of CDSL. Electronic statement will be provided at free of cost. The transaction statement at the end of the each quarter will be sent in case of transactions during the quarter. If there are no transactions in a quarter, no statement would be sent. One annual physical statement would be sent free of cost for nil holding and nil transaction accounts.
- 4) **Charges for additional statements :-** Electronic statements would be provided free of cost. However, physical statements would be charged Rs. 25/- Per Statement.
- 5) **Eligible accountholders** may opt for converting their existing demat account into BSDA account.
- 6) **Bo(s) opting for BSDA** account shall submit to ISS-DP a Declaration in the prescribed format along with BSDA TARIFF Sheet duly signed by all holder(s).
- 7) **BSDA account holder(s) have to maintain credit balance of Rs. 300 /- in their demat account at all time.**
- 8) **AMC wherever applicable**, would be levied upfront. In the event of closure of the demat account or shifting the demat account from one DP to another, the AMC collected upfront shall be refunded for the balance of quarters.
- 9) **Any Modification in BO account / communication to BO minimum charges of Rs. 15/- or actual courier / postage will be collected.**
- 10) **Any service not covered above will be charged separately.**
- 11) **ISS reserves the right to change the rates from time to time by giving one month notice.**
- 12) **Service tax will be charged in addition to the above mentioned rates.**

**I/ We agree to the above terms and conditions.**

1<sup>st</sup> Holder

2<sup>nd</sup> Holder

3<sup>rd</sup> Holder



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### **Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL**

**[SMS Alerts will be sent by CDSL to BOs for all debits and for all credits as well.]**

#### **Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

#### **Availability:**

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

#### **Receiving Alerts:**

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
  2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
  3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
  4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
  5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
  6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
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- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO’s personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.  
I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID

(Please write your 8 digit DPID)	(Please write your 8 digit Client ID)
----------------------------------	---------------------------------------

Sole / First Holder’s Name : \_\_\_\_\_

Second Holder’s Name : \_\_\_\_\_

Third Holder’s Name : \_\_\_\_\_

Mobile Number on which messages are to be sent

<b>+91</b>											
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(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: \_\_\_\_\_

Email ID: \_\_\_\_\_

(Please write only ONE valid email ID on which communication; if any, is to be sent)

\_\_\_\_\_ Signatures Sole / First Holder

\_\_\_\_\_ Second holder

\_\_\_\_\_ Third Holder

Place: \_\_\_\_\_

Date: \_\_\_\_\_